#### To do

- Fijian assignment is due tomorrow. I'll post an assignment on autosegmentalism (last one!) tonight.
- Last reading: Steriade 1999 (study questions due Tuesday if not already handed in)
- Project: abstract due tomorrow. You can put a hard copy in my mailbox

**Overview:** We'll see some reasons to think there's structure below the segment, then talk more about the relationship of all this to phonetics.

- 1 Feature geometry; we're not really using it in this course, but at least you'll know what it is
- Discuss: what are we really doing when when use [place] in a rule or constraint?

## **Example—from** McCarthy 1988, a systematic overview of feature geometry:

- [anterior] can spread with all the place features as in Malayalam (Dravidian language from India with about 36 million speakers) n→ m/ bilabials
  - m / \_\_\_ bilabials n / \_\_\_ dentals n / \_\_\_ alveolars n / \_\_\_ retroflexes n / \_\_\_ palatals n / \_\_\_ dorsals
- [anterior] can spread with just the other tongue-tip/blade feature English t,d,n ([+anterior, -distributed])

$\rightarrow$ dental / $\theta$ , ð	([+anterior, +distributed])
$\rightarrow$ palatoalveolar / t $\int$ , d <sub>3</sub> , $\int$ , 3	([-anterior, +distributed])
$\rightarrow$ retroflex <sup>1</sup> /L	([-anterior, -distributed])

• [anterior] can spread on its own Navajo sibilant harmony

 $\begin{array}{c} s \rightarrow \int / \ \_ \ X_0 \ \{t {f}, \ d {z}, \ {f}, \ {z} \} \\ \int \rightarrow s \ / \ \_ \ X_0 \ \{t s, \ d {z}, \ s, \ z \} \end{array}$ 

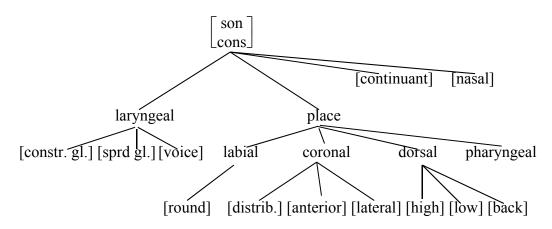
• This suggests a hierarchical organization of features:

place labial coronal (=tongue blade/tip) dorsal (= tongue body) anterior distributed

<sup>&</sup>lt;sup>1</sup> for speakers who have a retroflex r

## The general idea

- Certain features seem to group together in their behavior.
- Such grouping gave rise to an elaborated theory of *feature geometry* in autosegmental representations.
  - The idea was that not only features can spread and delink, but also **nodes** that dominate multiple features, or nodes that dominate intermediate nodes.
- Here's a proposed full geometry, more or less the one in McCarthy 1988—the top, "root" node, is what attaches to the C-V skeletal tier (or to the syllable structure, for skeleton-less theories):



- McCarthy's evidence for each grouping comes from...
  - assimilation as a group (=spreading; see examples above for *coronal* and *place*)
  - deletion as a group (=delinking)
     debuccalization: Spar

Spanish dialects  $s \rightarrow h / ]_{syll}$ 

English dialects, some Ethiopian languages  $C^2 \rightarrow 2$ 

*laryngeal neutralization*: Korean obstruents have 3-way laryngeal distinction, collapsed to 1 value in codas

- Obligatory Contour Principle (OCP) effects: adjacent (-on-their-tier) identical elements are prohibited.
  - Not only is two Hs in a row on the tone tier bad, two +s in a row on the [anterior] tier is bad too, and so is two +s in a row on the *coronal* tier.
  - Manifested as restrictions on allowable sequences (no two labials in an Arabic root), behaving as a block
- 2 Relationship to phonetics—my personal opinion
- Features that correspond to an articulatory gesture behave autosegmentally
  - [+nasal]: lower the velum
  - [+dorsal]: use the tongue body
  - [+back]: back the tongue body
- Features that don't correspond to a gesture really are just properties of a sound
  - [-sonorant]: total or near-total obstruction of airflow
  - [+consonantal]: significant supraglottal interference with airflow

## 3 "Privative" features

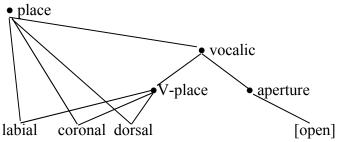
- One more thing to know about features is that some researchers think that for some features, there's no [-F] vs. [+F] vs. nothing
  - but rather only [+F] (or "[F]") vs. nothing. (The idea goes way back—see Steriade 1995) for review.)
  - Such features are called privative or monovalent
- E.g., maybe there's no [-nas] in representations:
  - In rule theory, means no autosegmental rules can insert, delete, or move it
  - In OT, means no MAX([-nas]), DEP([-nas]), ALIGN([-nas])
  - A segment that previously was represented as [-nas] is now just **underspecified** for [nasal]
- Relationship to phonetics?
  - If the [-F] value is just the resting position, there's no need to specify its articulation
    The articulator can just relax back towards its resting position
  - So features like [dorsal] or [voice] are likely to be privative/monovalent
  - Features like [sonorant] or [consonantal] are likely to be bivalent

# 4 If extra time: vowels vs. consonants in feature geometry (Clements & Hume 1995)

- Do Vs and Cs share features? Sometimes Vs and Cs interact, sometimes they don't.
  - <u>Spreading</u>: in many languages, velar and labial consonants can become coronal before front vowels (so are front vowels coronal?)

Maltese: certain vowels become [i] before coronal consonants

- <u>OCP</u>: in many languages, sequences of featurally-similar Vs and Cs are prohibited *Cantonese*: round V can't occur after  $k^w$ ,  $k^{hw}$ ; round V can't be followed by a labial coda C.
- Yet vowel harmony generally skips right over consonants, suggesting that the consonants are underspecified for the features in question.
- Clements & Hume propose something along these lines:



• Explains why single consonantal features can skip vowels (as [anterior] in Navajo), but the whole Place node seems never to skip vowels (what that look like?).

#### **5** Long-distance effects

- Sibilant harmony in Navajo (Na-Dene language from the U.S. with about 149,000 speakers; discussion based on Martin 2004)
- Simple version: two [+strident] segments within a word must agree in [anterior]—the feature [anterior] is contrastive only among stridents:

/si + t fid/	$\rightarrow$	$\int \hat{i} + \hat{t} \hat{f} \hat{i} d$	'he is stooping over'
/sì+téːʒ/	$\rightarrow$	∫ì + té:ʒ	'they two are lying'
/ji + s + lérz/	$\rightarrow$	$ji + \int + t \hat{t} \hat{t} \hat{t} \hat{z}/2$	'it was painted'
/ji + s + tiz/	$\rightarrow$	ji + s + tiz/	'it was spun'
$\sqrt{ts}\acute{e} + t_{J}\acute{e}?/$	$\rightarrow$	$\widehat{t}^{h}\acute{e} + \widehat{t}^{i}\acute{e}?$	'amber'
$\widehat{t}a: + né:z/$	$\rightarrow$	tsa: + né:z	'mule'

- Write a linear rule to account for this.
- The linear rule must skip over [-strid] segments, which happen to be, plausibly, just those segments that are <u>unspecified</u> for [anterior] in Navajo.
- But the rule gets no special credit for this—it is valued the same as a rule that skipped over all the [+voice] segments, say.
- This seems to miss something. Cross-linguistically, <u>long-distance rules of assimilation seem</u> to skip over segments that don't bear the feature in question, so we would like this kind of skipping to be valued more highly than other types.
- Autosegmental representation of 'mule's UR, assuming underspecification of nonstridents for [anterior]—IPA symbols stand for the rest of the features:

 $\begin{vmatrix} [-ant] & [+ant] \\ | & | \\ C V V + C V V C \\ | & | & | \\ \widehat{tS} a & n \notin Z \end{vmatrix}$  capitalization on this tier indicates agnosticism as to [ant]

- o Propose an autosegmental rule of strident harmony
- How about in OT?

<sup>&</sup>lt;sup>2</sup> Not sure if there's another process going on with l/vs. [ $\hat{tt}$ ] or this is just a mistake. Sorry.

#### 6 Phonetic basis of long-distance effects?

- Some researchers have argued most long-distance assimilations are, articulatorily, local. E.g. Gafos 1999.
- For instance, in a rounding-harmony system like this:

we could reasonably claim that (and test instrumentally whether) the *C*s that are skipped by the rule actually take on the lip-rounding value that spreads.

- 7 Locality: transparent vowels in Hungarian (Benus & Gafos 2007)
- Front non-round vowels in Hungarian allow front/back harmony to spread right over them:

Front		Back	
emír-nek [ɛmiːr-nɛk]	'emir-Dative'	papír-nak [p <b>ɔ</b> piːr-n <b>ɔ</b> k]	'paper-Dative'
zefír-ből [zɛfi:r-bø:l]	'zephyr-Elative'	zafír-ból [z <b>ɔ</b> fiːr-b <b>oː</b> l]	'sapphire-Elative'
rövid-nek [røvid-nɛk]	'short-Dative'	gumi-nak [g <b>u</b> mi-n <b>ɔ</b> k]	'rubber-Dative'
bili-vel [bili-vɛl]	'pot-Instrumental'	buli-val [b <b>u</b> li-v <b>ɔ</b> l]	'party-Instrumental'
művész-nek [myːv <b>eː</b> s-nɛk]	'artist-Dative'	kávé-nak [ka:ve:-nok]	'coffee-Dative'
vidék-től [vid <b>e:</b> k-tø:l]	'country-Ablative'	bódé-tól [bo:de:-to:l]	'hut-Ablative'
			(p. 274)

o Let's draw some autosegmental representations.

- B&G argue that the tongue actually remains in front or back(ish) position during the transparent vowel.
- So why does it still sound front? Because, especially for [i] (the most-transparent of the transparent vowels; see (Hayes et al. 2009)), the tongue has to get fairly back before it makes much acoustic difference.

(3) -sas-+i	$\rightarrow$ [-sasi] cf. [-sasa]	'bed maker' 'make the bed (INF STEM)'
-so: <sup>n</sup> z-+i	$ \rightarrow  \begin{bmatrix} -so:^{n}zi \end{bmatrix} \\ cf. \begin{bmatrix} -so:^{n}za \end{bmatrix} $	'victim of famine' 'be hungry (INF STEM)'
-sá:z-+i-e	$\rightarrow$ [-sá:ze]	'become old (PERF)'
n-sá:z-+i-e	$ \rightarrow \begin{bmatrix} n_{sa:ze} \\ cf. \ [-sa:za] \end{bmatrix} $	'I am old (PERF)' 'become old (INF STEM)'
-úzuz-+i-e	→ [-úzuze] cf. [-úzuza]	'fill (perf)' 'fill (INF STEM)'
βa-n-ziz-i+ize	→ [βa: <sup>n</sup> zizize] cf. [βa: <sup>n</sup> ziza]	'they punished me (for sth) (PERF)' 'they punish me (for sth) (IMPERF)'

## 8 Locality: Kinyarwanda coronal harmony (Walker, Byrd & Mpiranya 2008)

- EMA study: receiver pellets attached to tongue tip and blade; magnetometer tracks their position (along with reference receivers on nose and gums).
- <u>Result</u>: tongue tip remains angled upward during intervening segments, as in [βa**şamá:z**e]

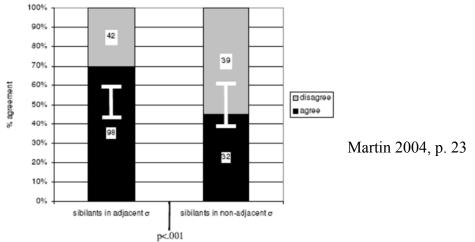
## 9 <u>Non</u>-locality: Guaraní nasal harmony (Walker 1999)

(3)	a.	/"do-roi-"du"pã-i/	$\rightarrow$	[ <u>nõrõinū'pãi</u> ]
		not + I-you + beat + 1	NEG	'I don't beat you'
	b.	/ro-mbo-po'rã/	$\rightarrow$	[ <u>r̃õmõpõ'r̃ã]</u>
		I-you + CAUS + nice	e	'I embellished you'
	c.	/idja <sub>r</sub> kãra'ku/	$\rightarrow$	[ <u>ĩpã,kãr̃ã</u> 'ku]
				'is hot-headed'
	d.	/a,kãra'y <sup>w</sup> e/	$\rightarrow$	[ <u>ã,kãr̃ã</u> 'ɣ <sup>w</sup> e]
				'hair (of the head)'
				(p. 9)

- Are the transparent Cs actually nasal?
- Acoustic study, but found no evidence for nasal airflow
  - if there was any, it wasn't enough to produce detectable turbulence
  - the stops did have a release burst, meaning air pressure was building up in the oral cavity, so it's unlikely to have been venting out the nose
- Let's discuss the theoretical implications.

### 10 A problem: gradient long-distance effects

- The autosegmental account above predicts that it doesn't matter how much material intervenes between the two stridents—they are still adjacent as far as the [anterior] tier is concerned.
- But Martin found that, in compounds, agreement is *gradient*: the more material intervenes between the two sibilants, the more likely they are to agree:



(There is an additional twist that I'll refer you to the thesis and to Martin 2007 for: much of the agreement in compounds comes not from alternation but from the underlying forms!)

• See Kimper 2011, Zymet 2014 for gradient distance effects in vowel harmony and even *dis*similation.

#### 11 Illusory assimilations and deletions

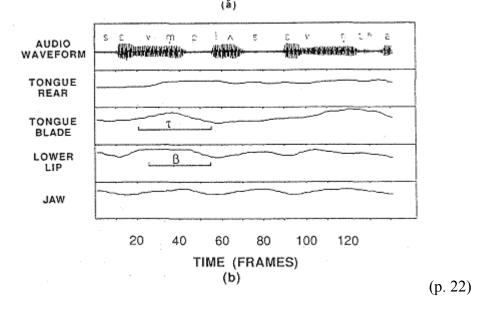
- We saw that Hall argues that a gap between consonants can lead to something that sounds like a vowel even though there's no vowel gesture.
- o Let's review what such a representation looks like.
- Similarly, if two consonants are two overlapped, one may be inaudible though it was produced.
  - Let's draw the gestural score for a famous one (Browman & Goldstein 1987), *perfect memory*, with the *t* being inaudible because of overlap by *k* and *m*

- æ έt h р n, £ 3 AUDIO  $\overline{a}$ ε  $\mathbb{C}^{n}$ WAVEFORM 16.66 VELUM TONGUE REAR κ TONGUE BLADE τ LOWER β LIP JAW 20 40 60 80 100 120 TIME (FRAMES) (a) p " s £ ê ĸ mε m 5 J : AUDIO COLUMN TRACT WAVEFORM VELUM TONGUE REAR κ TONGUE BLADE τ LOWER β LIP JAW 20 40 60 80 100 120 TIME (FRAMES) (b)
- Here's how the articulatory data looked:

Figure 13. X-ray pellet trajectories for "perfect memory." (a) Spoken in a word list ([p&fekt#'mem...]). (b) Spoken in a phrase ([p&fek'mem...]). (p. 20)

- The same thing could happen in place assimilation.
  - Let's draw the autosegmental representation for another one from (Browman & Goldstein 1987), *seve[m] plus seven*.

• Here's how the articulatory data looked:



(Rose & Walker 2004), (Zuraw 2002), (Hansson 2001)

#### To sum up

- There may be further structure within features (feature geometry)
- Not all segments are specified for all features
- Maybe locality of phonological processes is not just abstract (tier-adjacency), but totally concrete: an autosegment is a phonetic gesture that extends over a continuous span.
- But what about Walker's nasal data from Guaraní? Maybe such cases shouldn't be represented autosegmentally? (See Rose & Walker 2004, Zuraw 2002, Hansson 2001 for an alternative).
- We should think not just about the acoustics (do we hear a vowel between those Cs? do we hear a consonant that is underlying?) but also about the articulation underlying them.

Next week: phonology's "upward" interface with morphology revisited

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