CONTROL FROM COMP AND COMPARATIVE SYNTAX*

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The conception of Universal Grammar as a system of principles and parameters from which a specific core grammar can be derived by fixing the parameters of the system, has lead to a renewed interest in comparative syntax.

Indeed, the powerful analytic tools resulting from recent theoretical developments, not only contributes to discover deep similarities between superficially very different languages, but also permits the discovery of systematic patterns of variations and allows one to reduce them to different choices in the value of some parameter of the system.

Here, we want to look at one particular principle of UG (the ECP) and the crosslinguistic variation surrounding its scope of application. More specifically, we will be concerned with subject/object asymmetries which obtain with respect to both syntactic and LF wh-movement, and with the nature of proper government of wh-traces in subject position.

Chomsky (1981) proposes to account for subject/object asymmetries, exhibited in English for example by the so-called *that-t* phenomena, (*who do you think t that t came) by means of the Empty Category Principle (ECP), a principle governing the distribution of empty categories at LF. The ECP can be stated as:

$[\alpha]$ e] must be properly governed

The notion proper government has received many definitions in the literature. For heuristic reasons, the initial one will be presented here:

 β properly governs α iff β governs α^1

- a) $\beta = X^{\circ}$
- b) β is an NP coindexed with α .

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Essentially, this principle requires that empty categories (more precisely trace of NP-and wh-movement) be locally controlled.

In this view, the observed subject/object asymmetries are a consequence of government: whereas the object of a verb is governed by a lexical category (namely the verb itself), the subject is not. The question arises how the condition on proper government is fullfilled for traces in subject position. It is generally assumed that in cases of subject extraction in matrix clauses (e.g. who t came) the subject trace is properly governed by the wh-phrase in the adjacent COMP (by virtue of being coindexed). In cases of long extraction, the complementizer that in who do you think t (*that) t came must be absent so as to allow the trace in COMP to properly govern the trace in subject position. We will refer to proper government of wh-traces in subject position, our main concern here, as Control from COMP.

Within the framework outlined above, restricting ourselves to whquestions, we will first present data on subject/object asymmetries, discussing Vata, a West-African language (Kru family) in section I, and French in section 2. We will argue that the ECP explains the configuration of data in both Vata and French and that our analysis supports the hypothesis that the ECP applies at the LF level of representation as first argued for in Kayne (1981) and subsequently in Rizzi (1982) and Jaeggli (1980). Our analysis shows that there is some crosslinguistic variation as far as Control from Comp is concerned: in Vata, contrary to French, a subject trace can never be properly governed from COMP, not even in matrix clauses. Many questions arise as to what Control from COMP is and what determines the possibility or the impossibility in a given language for Control from COMP. The analysis of the French data bears on these questions, in that they establish that movement to COMP in LF does not lead to proper government configurations, supporting a similar conclusion reached in Aoun, Hornstein & Sportiche (1981) (henceforth AHS). We will argue that, since the wh phrase in COMP cannot be the element which properly governs the trace in subject position, it must be the COMP node itself which properly governs it, by virtue of the existence of a COMP indexing rule.

In section 3, we address the problem of how the crosslinguistic variation can be accounted for and propose the Control from COMP parameter. This parameter is discussed with respect to certain well-studied languages (English, Dutch and Italian) in 3.1 and 3.2. In 3.3 it is discussed from the point of view of the language learner. In 3.4, we address some apparent problems for our analysis which arise in languages like Chinese (Huang, 1982) which lack a rule of syntactic wh-movement applying in the formation of wh-questions. In 4, finally, we summarize the major findings of this article.

1. SUBJECT OBJECT ASYMMETRIES IN VATA

In Vata, a West-African language (Kru family), spoken in the Ivory Coast, there exist two strategies for the formation of wh-questions, either by the application of (syntactic) wh-movement, moving the wh-phrase into COMP, or by leaving the wh-phrase in its A-position (henceforth whin-situ). We will discuss both strategies in turn and show that they display subject/object asymmetries.²

1.1. Wh-movement

The sentences in (1) contain examples which are representative of extraction out of subject and non-subject position:³

- (1) a. àlá *(à) le saká la who *(heR) eat rice wh 'Who is eating rice'
 - b. yī kòfi le (*mi) la what Kofi eat (*it) wh What is Kofi eating'

When the subject is questioned, a resumptive pronoun must occur in subject position.^{4,5} When non-subjects are questioned, resumptive pronouns are excluded.

This generalization holds in all constructions which involve wh-movement, i.e. wh-questions, focus constructions, and relative clauses. Data on extraction of subjects and non-subjects in focus constructions and relative clauses are presented in (2) and (3) respectively:

- (2) a. k_{∂} m δ *(δ) $t\bar{e}$ saka man PRON *(heR) eat rice 'It is the man who is eating rice'
 - b. saká má ko le (*ma) rice PRON man eat (*it) 'It is rice the man is eating'
- (3) a. $k\dot{\beta}$ $m\bar{\partial}m\dot{\partial}$ *($\dot{\partial}$) $l\bar{e}$ $b\dot{\partial}$ saká man REL-PRON *(heR) eat REL rice 'the man who is eating rice,'
 - b. saká mama ko le 60 (*má) rice REL-PRON man eat REL (*it) 'The rice the man is eating,...'

These data show that wh-movement of subjects requires the presence of a resumptive pronoun, notwithstanding the occurrence of a wh-phrase (1a), a focused pronoun (2a) or a relative pronoun (3a) in COMP. Resumptive pronouns are excluded from any other position.

The same asymmetry between subjects and non-subjects is observed with apparent unbounded movement:

- (4) a. àlá n gugu nā *(à) yì la who you think that *(heR) arrive wh 'who do you think arrived'
 - b. àló n gugu na wa y (*mɔ́) yé la who you think that they see (*him) PART wh 'Who do you thìnk they saw'

Before turning to the analysis of these data, it is necessary to make some remarks on the resumptive pronoun. The resumptive pronoun has the segmental form of a nominative third person pronoun, but differs from it in bearing a low tone instead of the mid high tone (3) third person pronouns usually carry. (For a description and an analysis of this low tone pronoun, see Koopman & Sportiche (1983)). The resumptive pronoun agrees with the wh-phrase, as can be seen in the following examples (cf. Kaye (1982) on the selection of pronouns in Vata)

- (5) a. yī h gūgū nā ì blē la what you think that itR fall wh 'what do you think is happening'
 - b. $y\bar{\imath}$ $gb\hat{\omega}$ \hat{n} $g\bar{u}g\bar{u}$ $n\bar{a}$ $\hat{\omega}$ $\delta l\bar{e}$ $l\dot{a}$ what thing you think that itR fall wh 'what do you think is the matter'
 - c. $y\bar{\imath}$ $k \hat{\omega} a$ \hat{n} $g\bar{u}g\bar{u}$ $n\bar{a}$ $w\hat{a}$ $f\hat{u}$ \hat{l} \hat{a} what men you think that they R die wh 'what kind of men do you think died'
 - d. zàlōkó be bè kpale`
 zaloko there thereR beautiful-be
 'It is Zaloko that is nice'

Finally, the resumptive pronoun behaves syntactically like a wh-trace (cf. Koopman & Sportiche (1983)): constructions containing a resumptive pronoun behave in the same way as to the cluster of properties which is characteristic of wh-movement (Chomsky, 1977), as do constructions containing a wh-gap. In other words, although gaps are not allowed to occur in subject position, constraints (in particular Subjacency) may not be violated either.

To sum up, wh-constructions in Vata display a subject/object asymmetry (which is in fact a subject/non-subject asymmetry): although wh-movement of non-subjects must result in a structure containing a gap, a gap is excluded in cases of extraction of the subject [NP, S] of a tensed clause, in which case a resumptive pronoun must occur.⁶

Interestingly, when put into proper perspective, this array of data bears striking resemblence to those of, say, English, which are dealt with in terms of the ECP. It seems therefore natural to explain the impossibility of a gap in subject position in terms of the ECP. To this effect, let us assume that in Vata the trace in subject position is not properly governed, even if it is coindexed with a wh-phrase in an adjacent COMP (contrary to English who t came). (Note incidentally that the absence of Exceptional Case Marking verbs or of small clauses makes it impossible to find any examples of traces in subject position). Thus, something must take place in Vata in order to save these structures, which is the insertion of a resumptive pronoun. Given the fact that Vata's resumptive pronouns behave in all respects like wh-traces, it is attractive to treat the resumptive pronoun as the "spelling out" or the "lexicalization" of the trace, which is, if one adopts Chomsky's hypothesis (1981) about the internal structure of empty categories (there is in fact one empty category NP which contains pronominal features) nothing else then the lexicalization of the pronominal features of the wh-trace. Under this analysis, the agreement phenomena described in (5) are directly accounted for.

The analysis raises a question however concerning Vata's second strategy for forming wh-questions. Why does Vata have to make use of the spelling out of wh-trace, which seems to be a marked move, instead of exploiting an already existing possibility in the language, which consists of not applying wh-movement to subjects? We turn to this question in the next section.

1.2. Wh-in-situ

As mentioned above, there exists a second strategy for the formation of wh-questions in Vata, which is to leave the wh-phrase in A-positions (wh-in-situ).⁷ The distribution of wh-phrases in-situ turns out to be very interesting and reveals a second subject/object asymmetry: although wh-phrases may appear in object position (6), they are excluded from subject position (7):⁸

(6) \hat{n} $l\bar{e}$ $y\bar{\imath}$ la you eat what wh 'what do you eat'

This subject/object asymmetry only occurs with wh-quantifiers, as the contrast in grammaticality between (7) and (8) shows, in which the non-wh form alb 'the other one' or a quantified expression occurs in subject position:

An adequate theory should account for the subject/non-subject asymmetry and for the difference in behavior between wh-quantifiers and other quantifiers. Let us follow Aoun, Hornstein & Sportiche (1981) (AHS) and Huang (1982) in assuming that structures like (6) and (7) are subject to an LF movement rule which moves the wh-phrase into COMP at LF (AHS's rule of wh-raising). Application of wh-raising to the S-structures (6) and (7) yields LF representation (9) and (10):

(9)
$$[S' [COMP wh-phrase_i][S [VP [e]_i]]]$$

[10]
$$[S' [COMP wh-phrase_i][S [NP e]_i ...$$

Now, configuration (10) is similar to the one derived by syntactic whmovement, which is ruled out by the ECP, as we argued above. If we adopt the hypothesis of Kayne (1979) (published in 1981) that the ECP applies at the LF level of representation, the explanation of the ungrammaticality of (7) is straightforward and identical to the one put forth to account for the impossibility of a wh-trace in subject position: the wh-phrase insitu has to move into COMP at LF by wh-raising, leaving behind a trace which falls under the ECP. Now, we already concluded that Control from COMP is excluded in Vata in configurations like (10). The ungrammaticality of (7) can thus be directly accounted for if we assume that the LF representation (10) violates the ECP, because the trace is not properly governed. (Note that in configurations like (9) no problems arise, since the trace is governed by the lexical category V).

Both subject/object asymmetries can thus be brought back to the fact that the configuration $\{comp[wh-phrase_i]\}[e_i]$ does not constitute a

proper government configuration in Vata. Derivations containing a trace in subject position resulting from the application of wh-movement or wh-raising, are ruled out at LF by the ECP. It therefore follows that there does not exist any choice in Vata for questioning subjects, other than the application of syntactic wh-movement, after which the escape mechanism consisting in the lexicalization of features of the trace can and, in fact, must, take place.

As noted above, non-wh-quantifiers contrast with wh-quantifiers in that they do occur in subject position. This contrast can be accounted for if we assume, following AHS, that both types of quantifiers are subject to different rules in LF: wh-quantifiers to wh-raising (moving the quantifier into COMP) and other quantifiers to Quantifier Raising (QR, May (1977)) adjoining the quantifier to S). 10

- 1.3. In the preceding sections, the following account was given for both subject/non-subject asymmetries in Vata: wh-phrases move into COMP either by syntactic wh-movement or by wh-raising at LF, leaving behind an empty category which is subject to the ECP. On the assumption that in Vata a wh-phrase in COMP can never properly govern a trace in subject position, the explanation is simple: after syntactic wh-movement of the subject, the language must resort to an escape mechanism in order to escape the effects of the ECP (hence the lexicalization of the trace). Wh-phrases are excluded from subject position at S-structure since the output of wh-raising will lead to an ECP violation. This account provides arguments for several hypotheses:
- It provides an additional argument for the LF status of the ECP
- It provides evidence for the hypothesis that wh-phrases are moved in LF by wh-raising, leaving behind an empty category
- It provides evidence in favor of two separate processes in LF: whraising and QR (as AHS argue)

Interesting questions arise with respect to comparative syntax: what constitutes the difference between Vata and English with respect to Control from COMP? What type of language represents the unmarked case with respect to Control from COMP? What is Control from COMP? Where does it hold? Before addressing these questions, we will first show that the analysis concerning the subject/object asymmetries involving the French wh-word quoi sheds light upon the nature of Control from COMP, and is directly relevant for determining the Control from COMP parameter.

2. CONTROL FROM COMP: THE DISTRIBUTION OF QUOI

The distribution of the French question word quoi has been extensively

discussed in the literature, among others by Obenauer (1976, 1977), Hirschbühler (1978) and Goldsmith (1981). Here, we will show that quoi's idiosyncratic distribution can be reduced to the existence of one language specific filter. The existence of this filter will allow us to study directly some properties of Logical Form (LF), and gain insight in the nature of Control from COMP. More specifically, we will argue that:

- a. It provides a simple and strong argument in favor of the hypothesis that the ECP applies at LF
- b. It shows that movement to COMP in LF does not create proper government configurations for subjects.
- 2.1. In French, wh-questions are formed either by syntactic wh-movement, moving the wh-word into COMP, or by leaving the wh-word insitu. We discuss the distribution of quoi for standard French in both constructions.

2.2. Quoi in COMP

Examples (11), (12) and (13) illustrate the distribution of quoi, as opposed to that of a "regular" wh-word such as qui, in direct and indirect questions.

- (11) a. Qui/*quoi as-tu vu
 Who/*what did you see
 - b. A qui/à quoi penses-tu

 About who/about what are you thinking
- (12) a. Je me demande qui/*quoi tu as vu I wonder who/*what you saw
 - b. Je me demande à qui/à quoi tu penses
 I wonder about who/about what you are thinking
- (13) a. Qui/quoi voir Who/what to see
 - b. Je me demande qui/quoi voir I wonder who/what to see

These examples illustrate the impossibility for quoi to appear in the COMP of a tensed sentence (11a, 12a), unless it is preceded by a preposition (11b, 12b). Quoi may appear freely in the COMP node of an infinitival sentence (13a, 13b). The distribution of quoi can thus be summarized as follows: Quoi cannot appear exhaustively dominated by a [+Tense] COMP node. 12 In order to account for this distribution, an odd fact, we adopt the following filter:

(14) *[COMP quoi], where COMP exhaustively dominates quoi [+T] [+T]

This filter is in essence a reformulation (integrating Chomsky & Lasnik, 1977) of the rule PAS-DE-QUOI of Obenauer (1976), and can be considered the core of the analysis of the *quoi/que* and *ce que* alternations (see Koopman, 1982a).

Since Chomsky & Lasnik (1977), it is generally assumed that filters apply in the phonological component (PR). What is important for the discussion here is to show that filter (14) cannot apply at the LF level of representation. The following argument shows this is in fact the case. Consider the (non-echo) question (15) in which a wh-word occurs in-situ:

(15) Tu as fait quoi You did what

As discussed in the section on Vata, structures like (15) are subject to the LF rule of wh-raising, which derives representations at LF like (16);

(16) $[S' [COMP quoi_i] tu as fait [e]_i]$

Suppose now that (14) applies at LF. Then (16) would be marked ungrammatical, since *quoi* occurs in a Tensed COMP which exhaustively dominates it. But (15) is grammatical. We therefore conclude that (14) cannot apply to the output of *wh*-raising, hence not at LF. We may thus assume it applies at PR (or at S-structure).

2.3. Quoi in-situ

Examples (17), (18), and (19) illustrate the distribution of quoi and qui in-situ.

- (17) a. Tu as décidé quoi You decided what
 - b. Tu as vu qui
 You saw who
- (18) a. Tu comptes sur quoi You count on what
 - b. Tu comptes sur qui You count on who

- (19) a. *Quoi est tombé What happened
 - b. Qui est tombé
 Who arrived

The distribution of quoi is asymmetric: whereas quoi can appear in-situ in object position (17a), or as the object of a preposition (18a), it is excluded from subject position (19a).

Indeed, the ungrammaticality of (19a) allows us to establish that quoi may not appear in subject position. To see this, suppose first that in the surface structure (19a) quoi occurs in COMP. Then the sentence would be ruled out by the filter (14). Suppose next that quoi in (19a) does not occur in COMP, but rather in subject position. The ungrammaticality of (19a) shows however that this analysis is not available; quoi is therefore excluded from subject position.

Before showing that a natural explanation can be given for the observed subject/object asymmetry in terms of the ECP, note that we cannot assume that the paradigm of *quoi* is defective in having no nominative form¹³ (besides from being undesirable from a theoretical point of view).

In the first place, it is possible to find quoi in subject position, in which case the sentence must receive an echo interpretation and intonation (showing incidently that the restriction that quoi be excluded from subject position only holds for general questions).¹⁴

(20) QUOI a été décidé
WHAT has been decided

A second argument can be constructed based on constructions which contain multiple wh-questions and in which stylistic inversion has applied.¹⁵

(21) a.? Je me demande où e_i a été arrêté qui i I wonder where has been arrested who b.? Je me demande où e_i est tombé quoi i I wonder where has fallen what

In these sentences, a wh-word in subject position has been moved by stylistic inversion to postverbal position. Although not perfect, (21a) and (21b) exhibit no contrast in judgment. Furthermore, the judgments in (21b) do not compare with the impossibility of sentences like (19a). We conclude that the question mark status of (21) is due to the postverbal wh-subject, rather than to quoi lacking nominative Case. The examples thus show it is impossible to stipulate the paradigm of quoi is defective.

2.4. Returning now to the question why quoi cannot appear in-situ in subject position in non-echo questions, suppose quoi is generated in subject position in D-structure. Depending on the application of wh-movement which is optional in French, the S-structures (22) are derived.

(22) a. [S' [COMP quoi] [[e'] est arrivé]]
b. [S' [COMP] [quoi est arrivé]]

We know that (22a) will be filtered out by (14), since quoi cannot be exhaustively dominated by a tensed COMP. Nothing so far, however, rules out S-structure (22b). We will see that (22b) is in fact excluded by the ECP in LF, in exactly the same way as wh-phrases in-situ are excluded from subject position in Vata. In LF, quoi in (22b) must move in COMP by wh-raising (which is obligatory), yielding (23):

(23) LF: [S' [COMP quoi;] [e]; est arrivé]

If we assume now that the trace in (23) is not properly governed, the impossibility of *quoi* in subject position is immediately accounted for. We may thus conclude that in French, just as in Vata, movement to COMP in LF does not lead to a configuration in which proper government for subject traces holds.

Independent motivation for the assumption that movement to COMP in LF never creates proper government configurations derives from the analysis of wh-in-situ and Superiority put forth in AHS (1981). Let us briefly sum up their argumentation. They consider the following pair:

(24) a. I know who saw what b. *I know what who saw

The difference in grammaticality between these two forms cannot be stated at LF because the application of wh-raising to them yields the representations (25a) and (25b).

(25) a. I know [COMP] who [COMP] what [COMP] who [COMP] what [COMP] who [COMP] what [COMP] wher [COMP] wher [COMP] wher [C

These forms are identical, besides the order of the wh-phrases in COMP. The difference, they claim, must therefore lie at S-structure. It is at S-structure that there exist such rules as deletion of that in English, or the change of $que \rightarrow qui$ in French. These rules affect the COMP node and have

the effect of allowing proper government of the trace in subject position. They are furthermore specific to S-structure, since in English for example, the presence or the absence of the complementizer that does not have any effect on ECP violations created by LF rules like wh-raising, viz. (26a) (vs (26b) in which no ECP violation occurs):

- (26) a.* Who expects (that) who leaves
 - b. Who expects (that) John likes who

This brings them to propose that:

- 1. It is the COMP node itself rather than the wh-phrase contained in it which properly governs the subject position. (A similar idea has been put forth in Bennis (1980)).
- 2. It does so iff it is coindexed with the subject position. The way it gets an index is by means of the following (optional) percolation rule applying at S-structure.¹⁵

(27)
$$[COMP \ X_i^{"} \dots] \rightarrow [COMP_i \ X_i^{"} \dots]$$
 iff COMP dominates only i-indexed elements

Thus, the index of a phrase contained in COMP can optionally percolate up if the COMP dominates only i-indexed elements.

The idea is clear: configurations of proper government involving COMP must be present at S-structure, or, in other words, movement to COMP in LF (i.e. wh-raising) does never create a proper government configuration.

Extending this analysis to quoi yields the desired results: no well formed sentence can correspond to the S-structure in (22b).

In sum then, the impossibility of quoi appearing in subject position is excluded in the same way as wh-in-situ in subject position in Vata: quoi in subject position at S-structure, must move in COMP in LF by wh-raising. The ECP applies to the output of wh-raising. Since movement to COMP in LF does not create proper government configurations for the subject trace, ¹⁶ which can only be created by the COMP indexing rule (27) at S-structure, cases of movement to COMP from the subject position in LF will be ruled out by the ECP.

Consider next a string like qui est arrivé, to which, by the same reasoning as above, the following S-structures can correspond:

(29) a.
$$[S' [COMP_i] qui_i][[e]_i$$
 est arrivé]] (wh-movement & b. $[S' [COMP]][qui_i]$ est arrivé]] (27))

Now note that (29b) is not a possible S-structure, since it will lead to an ECP violation in exactly the same way (22b) does. S-structure (29a) however leads to a well-formed sentence because (27) can apply at S-structure. This is an interesting result: French is forced to apply syntactic whmovement to wh-phrases in subject position, although wh-movement is optional elsewhere, and we may conclude this is generally the case: if a language has both wh-movement and wh-in-situ, it won't have any other choice for extracting the subject than to apply wh-movement to it. This account thus argues against prohibiting string vacuous rule application as has been proposed for example in George (1980).

2.5. The distributional properties of quoi bear on several theoretical issues, concerning the LF status of the ECP, the nature of proper government and the functioning of LF rules. The succes of the theoretical framework we adopt is measured by the explanatory power of the (relevant parts of) the theoretical framework: besides filter (14), which excludes quoi if it is exhaustively dominated a Tensed COMP, nothing further needs to be stipulated. The exclusion of quoi from subject position is explained in the same way as wh-phrases in subject position in Vata: the subject trace resulting from wh-raising in LF is not properly governed and hence violates the ECP. This account provides a simple and strong argument in favor of the hypothesis that the ECP applies at LF, as argued in Kayne (1981) and Rizzi (1982). This is a welcome result given the subtlety of grammatical judgments on which their arguments are based.

The cases of Vata and French seem to be unique to us in the sense that their structure is such that there exists direct evidence for obligatory movement of wh-subjects in matrix clauses, notwithstanding the option of wh-in-situ in both languages.

3. THE CONTROL FROM COMP PARAMETER

3.0. In two unrelated languages, Vata and French, which both have the rules of syntactic wh-movement and wh-raising, subject/object asymmetries with respect to these rules are explained in a simple way by the ECP. The two languages differ however with respect to the possibility of Control from COMP for subject traces. In Vata, Control from COMP is never possible, neither at S-structure nor at LF. In French, Control from COMP is possible, but can only be created at S-structure by means of the COMP indexing rule (27). This implies that a wh-trace in subject position must be properly governed at S-structure. Thus, even in a language which allows Control from COMP it is a restricted phenomenon involving a COMP indexing rule.

Considering now Vata in the light of the French data, it appears that Vata is not that different from French as it may seem at first sight. Indeed, the only difference (in this respect) is the presence of a COMP indexing rule like (27) in French. If one assumes that Vata lacks a COMP indexing rule, the difference is immediately accounted for. Let us assume accordingly that the Control from COMP parameter is the presence or the absence of a COMP indexing rule in a given language. How does this account extend to other languages? We will turn to this question now, discussing first languages which seem to lack Control from COMP (Italian 3.1), and secondly languages which have COMP indexing (English and Dutch 3.2.), showing that systematic differences between these languages follow from the way COMP may be indexed.

3.1. Italian

Before discussing Italian, let us mention some languages which seem to be potential candidates for lacking a COMP indexing rule, among others, several languages of the Kru family (Bete of Gbadi, Koyo (Kokora, 1976), Dida de Lakota etc.) Yoruba, Kikuyo, ¹⁸ and Duala (Epée 1976). Clearly, only careful analyses will teach us if they do lack Control from COMP.

It has been argued by Rizzi (1982) that wh-extraction out of the subject position [NP, S] is not possible in Italian, despite apparent cases such as (30)

(30) Quante pietre sono cadute
How many stones are fallen
'How many stones fell down'

In fact, he argues, the wh-phrase in COMP in (30) has not been extracted from subject position, but rather from postverbal position. The evidence he provides for this claim is based on the interaction of wh-movement and the syntax of the clitic pronoun ne (Belletti & Rizzi, 1981). In Italian, the clitic pronoun ne pronominalizes an N' which is preceded by an indefinite quantifier. Crucially, this N' must occur in the VP and be governed by the verb:

- (31) a. Mario *(ne) ha prese alcune —
 Mario *(of-them) has taken some
 - b. Alcune (*ne) sono cadute Some (*of them) are fallen

With one class of verbs (Burzio's (1981) "ergative" verbs) postverbal subject NPs behave like objects:

- (32) a. Sono cadute alcune pietre are fallen some stones
 - b. *(ne) sono cadute alcune ——
 *(of them) are fallen some

Noting that the obligatoriness of *ne* is preserved under *wh*-movement, pronominalization of the N' and the occurrence of *ne* can now be used as a detector for the position *wh*-movement takes place from. Rizzi then considers the following data:

(33) quante *(ne) sono cadute how many *(of them) are fallen

The obligatoriness of *ne* indicates that movement could not have taken place from the subject position (in which case *ne* would be excluded), but must have taken place from postverbal position. Rizzi concludes accordingly that extraction from subject position is not possible in Italian, even in matrix clauses. ¹⁹ In terms of our terminology, Italian lacks a COMP indexing rule, and resembles Vata in this respect.

3.2. English and Dutch

The account presented for French in 2 carries over to English directly. Control from COMP exists in English (who t came). We can safely assume that COMP indexing is subject to the same conditions as in French: the COMP can only acquire an index at S-structure, if it dominates a whphrase or a wh-trace (explaining the necessary absence of that in cases of long extraction), or if it dominates an operator and that in relative clauses (assuming that that appearing in relative clauses bears an index (Pesetsky, 1982)).

The situation in Dutch is somewhat different. As has been noted originally by Perlmutter (1971), violations of the *that t* filter occur in Dutch. Chomsky & Lasnik (1977) suggest that the *that t* violations in Dutch may be subject to dialectal variation. These dialects have subsequently been called Dutch A and Dutch B by Maling and Zaenen (1978) (or Belgium (sic) (Dutch A) and Dutch (Dutch B) by Bennis (1980)). Typical examples of subject extraction in Dutch A and Dutch B are presented in (34):

(34) a. Wie denk je dat (er) gekomen is
Who think you that there come is

Dutch A

b. Wie denk je dat *(er) gekomen is
 Who think you that *(there) come is
 Who do you think came'

Dutch B

Thus, a trace is allowed in subject position in Dutch A, whereas it is excluded in Dutch B. Maling & Zaenen try to relate the possibilities of that t violations in Dutch A with the optionality of the dummy subject er in the same dialect. (The apparent violations in Dutch A would then be on a par with the apparent that t violations in PRO drop languages such as Italian). Maling & Zaenen predict that both dialects of Dutch differ systematically with respect to the dummy project er. Bennis (1980) argues that this prediction is not borne out. He proposes instead that the difference between both dialects can be accounted for if one assumes that COMP indexing differs for both dialects. He proposes that in Dutch A, the COMP can get the index of the wh-phrase even if it dominates both the wh-phrase (or trace) and a lexical complementizer. In Dutch B however, COMP indexing works as in English or in French, and can only take place if COMP dominates the wh-phrase or a trace.

Here, we will show that, contrary to Bennis's claim, that t violations occur in all dialects of Dutch. Moreover, we show that they occur in both matrix and subordinate clauses, and that the behavior of er does not directly bear on the problem of proper government for subject traces.

First, we assume that the verb second rule in Dutch moves the finite verb into the complementizer position, as has been proposed by Den Besten (1978). Consider now the following sentences which are drawn from the Dutch B dialect which seems to be the more restrictive one as far as extraction of subjects is concerned.

- (35) a. [COMP wie_i is] *(er) gekomen 'who came'
 - b. wie_i denk je $[t_i dat]$ *(er) gekomen is who think you that there come is
- (36) a. [COMP wie i heeft]?*(er) iets gezien who has there something seen 'who has seen something'
 - b. [wie_i denk] je [t_i dat]?*(er) iets gezien heeft who think you that there something seen has 'who do you think saw something'

- c. [wie heeft] (?*er) hem/Jan gezien
 who has him/John seen
 'who has seen John/him'
- d. [wie denk] je [t_i dat] (?*er) hem/Jan gezien heeft
 who think you that him/John seen has
 'who do you think saw him/John'

These examples show that er seems to be obligatory with intransitive verbs (35), and with transitive verbs with indefinite objects (36a, 36b), whereas marginally possible with transitive verbs with definite objects (36c, 36d). They show furthermore that there is no contrast for possibilities of extraction between matrix clauses and subordinate clauses. Assuming the appearance of er is dealed with by some independent mechanism, the examples in (36c, and 36d) show that even in Dutch B wh-traces are possible in subject position. We conclude accordingly that Control from COMP exists in Dutch, and that there exists a rule of COMP indexing (37) which allows the COMP node to acquire an index, even if it contains both the wh-phrase and the finite verb, or a wh-trace and the complementizer:

(37)
$$[COMP \ wh-phrase_i \dots] \rightarrow [COMP_i \ wh-phrase_i \dots]$$

This analysis of Dutch thus implies that languages may differ as to the actual form of the rule for COMP indexing.²⁰

According to our account, there is no difference in Dutch between extraction of subjects in matrix clauses or embedded clauses, the reason being that both contain doubly filled COMPs.²¹ Note that we predict that languages with verb second rules like Dutch will either not allow wheatraction of subjects at all (lacking COMP indexing), or allow it showing that t violations in both matrix and, providing there exist bridge verbs, embedded clauses.

The data in (35) and (36) show furthermore that it is necessary to dissociate Control from COMP and the appearance of er, which has to be accounted for in a different way. We will not elaborate further hereon this hazy area of Dutch syntax.²²

Consider again verb second phenomena. According to Den Besten (1978), English subject/Aux inversion is to be analyzed as a verb second rule which moves the auxiliary into COMP. Still, Dutch and English differ systematically with respect to that t violations.²³ Now, consider the following examples:

- (38) a. $[COMP_i]$ who $[COMP_i]$ came
 - b. $*[_{COMP}$ who i did] e come
 - c. [$_{COMP}$ who $_{i}$ did] John see e_{i}

Curiously, do cannot move into COMP in (38b), whereas the finite verb must move into COMP in Dutch. The account developped so far provides an explanation for this fact, relying crucially on the different functioning of Control from COMP. Suppose that do did move into COMP in (38b). COMP indexing would be blocked and the sentence would violate the ECP in exactly the same way *who do you think t that t came does. Thus, instead of posing a problem for our analysis, the examples in (38) may in fact support it, since providing an account for a different functioning of verb second in English and Dutch.

3.3. In order to account for the crosslinguistic differences which obtain with respect to Control from COMP, we have proposed the Control from COMP parameter. Some languages, we have argued, lack a COMP indexing rule, while others have one. Moreover, there exists crosslinguistic variation as to the conditions under which COMP can acquire an index. (cf. English and French versus Dutch). The questions about Control from COMP may be asked in a slightly different way, taking the point of view of the language learner. Given UG and the Control from COMP parameter, the following questions arise: What is the unmarked value for the Control from COMP parameter? What data are sufficient for the language learner to determine the value of the parameter? How does the language learner determine the actual form of the COMP indexing rule? Although we would like to relate the possibility for Control from COMP with independent properties,²⁴ it is sufficient for our purposes here to show how the relevant information can be deduced. Note that we have shown that in a language with syntactic movement, one is forced to apply wh-movement to subjects.

Consider now Vata. The case of Vata is straightforward: given the impossibility of inversion and the obligatoriness of subjects in tensed sentences, the language learner, hearing the resumptive pronoun in subject position, and noting that similar facts holds in focus constructions and relative clauses (which have wh-pronouns in COMP), concludes there is no COMP indexing. Vata does not give any particular insights into the question what the unmarked option in UG is. Indeed, it could represent both the unmarked or the marked case. If it would represent the marked case, it could be argued that there exists positive evidence for concluding the lack of Control from COMP, i.e. the presence of the resumptive pronoun. We do not believe, without much argument however, that Vata represents the marked case. It seems to us that if we consider a hypothetical language in which there are always alternative ways for the extraction of subjects, this language will be of the Vata type, for there is no need for assuming Control from COMP. That is, we assume that the unmarked value for the Control from COMP parameter is the absence of a COMP indexing rule. In

this view, Italian lacks Control from COMP, because due to free inversion and null subjects, there does not seem to be any need for assuming it.

The language learner of French, concludes on the basis of sentences like qui t a téléphoné (recall qui has to move in syntax) that Control from COMP exists. The actual form of the COMP indexing rule can probably be deduced from surface forms like qu'est-ce que tu penses t qui t a été donné à Pierre, i.e. the COMP node can acquire an index if it dominates more than one element, one of which lexical, with the same index.

A similar story holds for English, where sentences like who t came and the door that t opened (that bears an index, following Pesetsky, 1979) lead to the same COMP indexing rule as in French. Moreover, the asymmetric behavior of do (who t came and who did John see t cf (38)) may be sufficient for the language learner to deduce the actual form of the COMP indexing rule (need for domination of elements bearing the same index).

The situation in Dutch is slightly different. On the basis of the data discussed in 3.1., there is Control from COMP in Dutch. Now, given the verb second rule which moves a finite verb into COMP, the actual rule for COMP indexing differs from the French or English rule: indeed, the COMP may be indexed even if it contains elements with different indices. It thus follows that Dutch systematically differs from English or French in allowing that t violations.

Note that, while some important questions are simply begged, we showed that the information concerning the Control from COMP paramater can be deduced in a relatively simple way.

3.4. The grammar of the languages which have been discussed up to this point, all contain the syntactic rule of wh-movement. In most of these languages, wh-phrases occur in-situ, either in restricted environments (multiple wh-questions) in general questions or in both.

As we have seen, wh-phrases are excluded from subject position at S-structure, since the trace left by the LF rule of wh-raising fails to be properly governed. What happens now in languages which do not derive wh-questions by means of syntactic wh-movement, but always have wh-phrases in-situ?

Chinese (Huang, 1982) and Mahou²⁵ (a Northern Mande language, spoken in the Ivory Coast) have this property. Our analysis so far leads us to expect that wh-phrases cannot occur in subject position, since the trace left by wh-raising would not be properly governed in LF. This prediction is not borne out: in both languages, there are no ECP effects with respect to wh-phrases in-situ (Huang, 1982). Given the ECP as a principle of UG, how can these data be analyzed in a way which is consistent with our findings above?

It is generally assumed (Chomsky, 1981) that the LF rules are subject to very little variation among languages, since direct evidence to their nature is extremely limited. It is possible, and entirely desirable, that whatever variation there may be is in fact limited in the sense that it is in a way a reflex of some aspects of the grammar for which overt evidence is presented to the language learner.

Now, the fact that there are no ECP effects with wh-phrases in-situ in subject position is only a problem if we assume wh-raising to be subject to wh-raising in all languages, or, put differently, if the only available way for interpreting wh-phrases in-situ is by application of wh-raising.

Suppose in fact that what is fixed is the actual LF vocabulary, possibly comprising QR (adjunction to S), wh-raising (movement into COMP) and focus interpretation (which, according to Koopman & Sportiche (1983) is not a movement rule, but adopting essentially a proposal of Van Riemdijk & Williams (1980) rather an interpretation rule which consists of the insertion of an operator binding a variable by means of coindexing on.) Suppose furthermore that the language learner chooses between the available mechanisms for the interpretation of specific constructions, and that this choice is determined by some overt properties of the language.

Concretely, this would mean that in French or in Vata, wh-raising moves a wh-phrase into COMP at LF, because there is overt evidence for doing so (syntactic wh-movement). In Chinese or Mahou however, no syntactic wh-movement applies to wh-phrases. There is thus no a priori reason to suppose wh-raising actually moves the wh-phrase: into COMP (or adjoins it to S), while leaving an empty category. Rather, the absence of ECP violations suggest that the LF representations do not contain a trace, and resemble English focus constructions in this respect. We propose tentatively that wh-questions in Mahou or in Chinese are not interpreted by a rule of wh-raising, but rather by a rule which inserts a wh-operator which binds the wh-phrase by means of it being coindexed. The LF representations corresponding to the Chinese or French S-structures in (39) and (40) would be roughly (41) and (42):

- (39) Zhangsan xiangxin shei mai-le shu (Huang, 1982, (4))
 Zhangsan believe who bought book
 Who does Zhangsan believe bought books?'
- (40) Jean croit que tu as vue qui John believes that you saw who
- (41) LF: [x; [Zhangsan xiangxin shei; mai-le shu]

(42) LF: [COMP qui,] Jean croit que tu as vu [e]

The problem we set out to discuss in this section can be analyzed in a way which is consistent with our analysis, and may lead to new insights into available processes at LF and how they are put to use.

4. CONCLUSION

In this article, we have discussed one particular principle of UG the ECP, and the crosslinguistic variation surrounding its scope of application. The discussion was entirely restricted to subject/object asymmetries which obtain with respect to both syntactic and LF wh-movement, and to the nature of proper government of wh-traces in subject position. The configuration of data can be summarized as follows:

Multiple wh (Superiority)	I	I	$^*[COMP \ ^{wh}_j \][S[NP \ ^{wh}_i \]$		*{ COMP wh;][S [NP wh;]	*{COMP wh; V] [S [NP wh;]	no superiority effects	no superiority effects
Wh-in-situ	*[S [NP wh _i] ··	I	ı		*[S [NP wh ₁] ···	·	[S [NP wh _i]···	[S [NP wh _i]
Long wh-movement (Syntax)	*[COMP t _i nā][t _i	*[COMP t_i che][t_i	* {COMP t _i that} [t _i ··	\cdots [COMP _i t_i that] [t_i \cdots	* [COMP t_i que][t_i *[$S[NPwh_i]$] [COMP, t_i qui,][t_i	\cdots [COMP $_{ m i}$ $^{ m t}_{ m i}$ dat][$^{ m t}_{ m i}$ \cdots	L	ſ
Short wh-movement (Syntax)	*[COMP wh;] [t;	*[COMP wh _i][t _i …	$[COMP_i^{wh_i}][t_i^{m}]$		[COMP _i wh _i] [t _i	$[\text{COMP}_i^{\text{ wh}_i} \text{ V }][t_i^{} \cdots$	1 -	
Language	Vata	Italian	English		French	Dutch	Mahou	Chinese

A first dichotomy concerns languages with syntactic wh-movement and languages without. Languages with syntactic wh-movement all show ECP violations whereas languages without do not. We proposed tentatively that this is a consequence of how available mechanisms at LF are put to use. Whereas languages with syntactic wh-movement apply (in fact, have to apply) wh-raising to wh-phrases in-situ, languages without wh-movement interpret their wh-questions by a process similar to Focus interpretation.

Turning our attention now to languages with syntactic wh-movement, and leaving idiosyncratic differences aside, we proposed that the explanation of this, at first sight chaotic picture, involves the ECP, for whose LF character we provided strong evidence, and the Control from COMP parameter. First, all the ungrammatical sentences are excluded by the ECP, covering both short and long wh-extraction, and wh-raising in simple and multiple wh-questions. We argued, wh-raising in LF will never lead to proper government of the trace in subject position, but syntactic wh-movement will in some languages. In order to account for this variation, we proposed the Control from COMP parameter, which consists in the presence or the absence of a COMP indexing rule at S-structure. This COMP indexing rule has the effect of permitting the COMP node itself to properly govern the subject position, by virtue of its being coindexed.

We assumed that the unmarked case for this parameter in UG is the absence of a COMP indexing rule, explaining both Vata and Italian as the unmarked case. We furthermore assumed that languages only make use of the marked option of a COMP indexing rule if no other option is available for the questioning of subjects. Interestingly, it turns out that languages which have Control from COMP differ as to the conditions under which it takes place. These conditions interact with language specific phenomena, such as verb second, yielding systematic patterns of variation (which show there is no difference between short and long wh-movement) which, therefore, place no burden on the language learner.

NOTES

- 1. We adopt the following definition of government (Aoun & Sportiche (1981): α governs β iff φ a maximal projection, then φ dominates α iff φ dominates β .
- 2. This section is a compact version of Subject Object Asymmetries in Vata (Koopman, 1980).
- 3. Vata is a tone language which has four tones represented as T, (high), T (mid high) T (mid) and T (low). For the discussion in this article, the following information is relevant: all tensed sentences contain an overt subject, and no subject AUX inversion, nor free inversion occurs. The reader is referred to Kaye (1981, 1982) for details on the phonological system, and to Koopman (1980, 1982, 1983), Koopman & Sportiche (1983) and Sportiche (1983a) for some aspects of its syntactic structure.

4. This is actually a simplification of the data, since a second surface structure corresponds to (1a):

(i) a. àló `lē saká la who L eat rice wh

- In (i), a low tone follows the wh-phrase. This low tone cannot be analyzed as a floating tone occurring in wh-constructions, but appears actually to be a residue of the optional deletion of the segmental form of the resumptive pronoun which is phonologically conditioned. We thus conclude (1a) and (i) are basically similar.
- 5. It can be shown that the resumptive pronoun occurs in subject position and is not a clitic (Koopman, 1983).
- 6. The asymmetry is double: on the one hand the distribution of traces is asymmetric. On the other hand, the distribution of resumptive pronouns is so too. That is, resumptive pronouns are excluded from object position (4b). A functional explanation may be given for this fact in exploiting a suggestion made by Chomsky (1981). There may be a general principle (the Avoid Pronoun Principle) which has the effect of excluding lexical pronouns whenever their non-lexical variant may be used. This would imply that resumptive pronouns are impossible in object position, since a gap can be used.
- 7. Although this is a possibility, the option of overt wh-movement is clearly preferred.
- 8. The tones in (7) and (8) are actually surface tones. We need to refer to surface tones here in order to show that the wh-phrase occurs in subject position for the following reasons: In Vata, there is a phonological process by virtue of which tones are attracted by a low tone in the appropriate context. This rule can be formulated as follows:

Now, as noted in fn3, there may be just the tonal remnant of the resumptive pronoun in subject position. So, we actually have to assume the above rule of tone attraction took place in order to be able to show the wh-phrase is excluded from subject position. (compare (8) where attraction has occurred). Note finally that the attraction rule is insensitive to the presence of wh-trace as the following example shows:

(i) yī wa nī [e], n ``
 what they NEG-A do
 'What have not they done'

- 9. As pointed out by an anonymous TLR reviewer, the fact that wh-phrases may occur as the object of a postposition implies either that P is a proper governor, or that there is no P-stranding in LF.
- 10. Assuming adjunction to S to yield a proper government configuration for the trace left by QR.
- 11. Part of this section has been presented at NELS XII, under the title "Theoretical Implications of the Distribution of quoi" (Koopman, 1982)
- 12. Quoi is excluded if it is exhaustively dominated by a Tensed COMP, rightly predicting the occurrence of quoi with pied-piped material in COMP such as (i), taken from Hirschbühler (1978):
- (i) [COMP [NP quoi que tu n'aimes pas]] Pierre a-t-il acheté
- 13. Goldsmith (1981) for example argues that "quoi is unpredictably defective in its paradigm in having no nominative form (p. 562)". He is however referring to a morphosyntactic use of the term nominative, arguing that the nominative clitic form which exists in the paradigm for pronouns lacks for quoi. The arguments

given in the text can therefore not be taken as refutations of Goldsmith's hypothesis, as he has pointed out to me.

14. These examples have been suggested to me by J.R. Vergnaud.

Dominique Sportiche has suggested the following examples to me, in which nominative quoi lands in a [-Tense] COMP, escaping (14):

(i) ?? Qui, dire qui e, est arrivé

(ii) ?? Quoi; dire qui e; est arrivé

These examples have a marginal status, due to the (near) impossibility of a whword originating in a tensed clause to land in a COMP which dominates an infinitival sentence (crossing from a plus to a minus Tensed COMP). These examples show again that there is no contrast between (i) and (ii).

- 15. The condition that COMP dominates only i-indexed elements is necessary in order to account for cases of long extraction like:
- (i) qui tu penses t; qui; t; a été battu

We adopt AHS's proposal that qui is a complementizer base-generated with an index, contrary to que.

16. Note that this rules out in principle that that deletion or the que→qui rule

would apply in LF.

17. Québec French differs only minimally from standard French in this respect. There seem to exist two dialects with respect to quoi in Québec French. In one dialect (1), described in Lefebvre (1982), filter (14) has been generalized to all tensed COMPs (i.e. those containing the complementizer and those without a lexical complementizer). In the other dialect (2), filter (14) functions as in French, and quoi is allowed to appear in Tensed COMPs providing a lexical complementizer is present:

Dialect 1 Dialect 2.

* quoi que tu fais quoi que tu fais

* quoi tu fais *quoi tu fais

- 18. I base myself on data from Yoruba provided by Pulleyblanc, and data from Kikuyo presented by Clements at the 1979 Conference on African Linguistics (Illinois). The interpretation of the data is strictly my own.
- 19. Our discussion of Dutch will show that this argument is not sufficient. In fact, for the argument to go through, it must be shown that extraction of subjects is equally impossible in non-ergative contexts. On this matter, see Sportiche (1983). 20. This conclusion on Dutch is quite interesting, since it rules out in principle an account in which the COMP indexing rule (27) is taken as a universal rule, and which would derive the apparent absence of a COMP indexing rule in Vata to be the effect of the presence of an abstract complementizer blocking rule (27) from applying.
- 21. This account predicts the occurrence of indirect questions with doubly filled COMP nodes even if extraction took place from the subject position:
- (i) Ik vraag me af [wie of dat] t, hem gezien heeft

I wonder who if/that him seen has

'I wonder who saw him'

According to my judgment examples like (i) are perfectly acceptable and are given as such in the literature (Koster (1978) example (218a), Reuland (1982) ex (39)). According to Bennis (1980) however, (i) is ungrammatical.

22. In the dialect under discussion, there seems to be a correlation between the distribution of indefinite NPs and the extraction site of wh-phrases. In wh-questions

in which er is obligatory, the subject has rather been extracted from a properly governed position in the VP:

(i) wie; is er t; gekomen

who is there come

Interestingly in the corresponding active sentences, indefinite NPs also seem to be excluded from subject position:

- (ii) a. ?* iemand is gekomen
 - b. er is iemand gekomen
- 23. On these matter see also Koopman (1983a).
- 24. In Koopman (1983), it is porposed that the possibility of Control from COMP is related to independently motivated differences concerning the COMP node. In Vata, the wh-position does not really form a unity with the COMP node which probably occurs sentence final. In other words, the sentence initial position in Vata really behaves as a specifier, whereas COMP in English displays certain headlike characteristics. Taking COMP to be the head of S', and assuming only heads are governors, it follows Control from COMP is possible in English, but excluded in Vata since it does not qualify as a governor (being a non-head).

This assumption is in no way incompatible with the account presented in the text. It would imply that Vata and Italian are similar, for different reasons. In Vata, Control from COMP would be excluded in principle, while in Italian Control from COMP is not excluded in principle, but absent since there is no need for assuming it. 25. Thanks to Bamba Moussa for the information on his language, Mahou.

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THE LINGUISTIC REVIEW

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