Morphology is Structure: A Malagasy Test Case

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We think here of a (generative) grammar G as, in essence, a lexicon  $Lex_G$  and a set  $F_G$  of structure building ("generating") functions. Elements of  $Lex_G$ , called *lexical items*, are pairs consisting of a string (phonological matrix) and a grammatical category.  $L_G$ , the language generated by G, is the set of all expressions which can be formed from  $Lex_G$  by finitely many applications of the generating functions. See Keenan and Stabler (1991,1995) for a formalization. Within this naive though mathematically explicit conception of grammar we present a syntactic and semantic analysis of the voicing affixes in Malagasy. Part I illustrates our analysis. Part II sketches a "generic" GB analysis drawing largely on GHT (Guilfoyle, Hung, and Travis, 1992), and Part III compares the two approaches.

# I. A Predicate Building Approach to Voice Affixes in Malagasy: A Sample Analysis

The Ss in (1) below constitute a very partial illustration of the voicing system of Malagasy. We use them to illustrate a predicate building (PB) approach to voice in Malagasy.

- (1) a. N + i + vidy ilay satroka hoan-dRasoa Rabe past+active+buy that (aforementioned) hat for-Rasoa Rabe Rabe bought that hat for Rasoa
  - b. No + vidy + ina + Rabe (novidin-dRabe) hoan-dRasoa ilay satroka past+buy+passive+Rabe for-Rasoa that hat That hat was bought for Rasoa by Rabe
  - c. N + i + vidy + ana + Rabe (nividianan-dRabe) ilay satroka Rasoa past+active+buy+circumstantial+Rabe that hat Rasoa Rasoa was bought-for that hat by Rabe

roots In the Lexicon of Malagasy we include an entry whose string part is vidy ('buy'). Its category is 'RT{AG, TH}', indicating that it is a root and is associated with a two element set of theta roles, AGENT and THEME. Semantically this entry is interpreted as a binary relation (≈ a two participant event), noted VIDY¹.

active verbs We shall think of the active marker i- (one of many) as introduced by a function I- which takes certain roots as arguments yielding transitive verbs as values. So I-(vidy) = ividy is of category Transitive Verb interpreted as a binary relation satisfying certain conditions. In more detail, ividy combines first with an accusative NP ( $\approx$  DP) to form an expression (which we theory neutrally call 'Predicate Phrase') which combines with a nominative NP to form an S. In a standardish but space costly notation we may note this category of transitive verb as in (2a). In certain contexts we might eliminate the notation 'S' ( $\approx$  'IP'...), and if it is assumed that all verbs take a nominative argument we could eliminate that too. For expliciteness we shall not eliminate those elements here, but we shall use the one line notation in (2b).

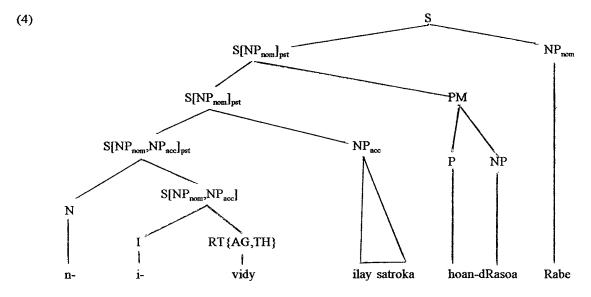
In the (2b) notation the "NP" notations are ordered. Thus we understand that the rules which combine verbs with NPs combine first with the NP indexed on the rightmost side of the sequence. So an expression like *ividy* of the category in (2b) will combine with an accusative NP to form an expression of category S[NP<sub>nom</sub>], one that in turn will combine with a nominative NP to form an S.

Semantically we interpret *ividy* of category  $S[NP_{acc},NP_{nom}]$  as a function mapping an object y to a function which maps an object x to True iff y stands in the THEME relation to VIDY, noted THEME(y,VIDY), x stands in the AGENT relation to VIDY, AGENT(x,VIDY) and x stands in the VIDY relation to y, VIDY(x,y).

(3) Thus the verb built by I- from vidy assigns theta roles to the arguments of VIDY ("BUY"). It also determines which of the two arguments stands in the BUY relation to the other.

Tense marking in Malagasy combines directly with verbs to form tensed verbs. The past tense function N-takes ividy to nividy (Future H- takes it to hividy, Present M- to mividy). We note the category of nividy simply as  $S[NP_{norm},NP_{acc}]_{past}$ , often omitting the tense subscript since we focus here on voice. Semantically expressions of this category map objects y to functions mapping objects y to functions sending temporal intervals y to True or False, subject to the condition that if the value is True then y is prior to y00, where the temporal priority relation and the fixed interval y00 are part of the model structure for Malagasy.

Finally we treat PPs like hoan-dRasoa 'for Rasoa' in (1a) as Predicate Modifiers (PMs). Syntactically they combine with (tensed) predicates of various categories to yield tensed predicates of the same category. Semantically they are restricting functions from tensed predicate denotations to tensed predicate denotations. To say that F is restricting here (see Keenan and Faltz 1985 for details) is to say that if  $F(P)(b)(a)(\tau) = True$  then  $P(b)(a)(\tau) = True$ . So 'for Rasoa' is restricting since if Rabe bought the hat for Rasoa we can infer that he bought the hat. And prepositions like 'for' combine with NPs to make PMs; semantically they denote in Den(Prep), the set of functions mapping NP denotations to restricting maps from n-ary relations (possible predicate denotations) to n-ary relations. Our analysis of (1a) can be summarized in the following standard tree diagram:



In semantically interpreting complex expressions we have designed the denotations of expressions in such a way that whenever an x and a y combine to form a z then one of x,y is interpreted as a function and the other is interpreted as something in its domain. And we here stipulate in such a case that the interpretation of the derived expression z is the value of that function at that argument. In the examples considered in this paper we in general chose NPs which we can think of as denoting objects<sup>2</sup>. Thus if *ilay satroka* 'that hat' denotes an object b, the denotation of *nividy ilay satroka* will be represented as **nividy**(b) where boldface **nividy** is the function which interprets *nividy*. Thus the semantic interpretation of (4) = (1a) is given by:

## (5) ((hoan-dRasoa)(n(i(vidy)))(ilay satroka))(Rabe)

Observe that our semantic interpretation of (1a) is strictly compositional: Each constitutent is assigned a

meaning (=interpreted) and a derived constituent is always interpreted as function of those it is built from.

Observe also that the predicate built by I- plus the fully general function-argument interpretation of complex expressions guarantees that it is the referent of the nominative NP Rabe in (4) that is assigned the AGENT theta role. Generalizing AGENT to mean highest ranking on the order AGENT > EXPERIENCER > THEME/PATIENT, we may say that the verbal affix i- assigns AGENT to its nominative NP. Verbal affixes whose functions have this property will be called **active** affixes. A great many observable properties distinguish such nominative NPs, NP<sub>nom</sub>s, from the rest of the sentence. For example they have a distinctive pronominal form: izy (3sg/pl) as opposed to accusative azy and genitive -ny. They are sisters to the Predicate Phrase which consists of the verb together with its adverbials and accusative and genitive arguments. For more tests see Keenan (1995).

Extending the analysis The domain of I- is just roots, and this set is, again, defined by lisiting. Moreover from the impressive empirical work of Abinal & Malzac (1888) and Rabenilaina (1985) we have a very good knowledge of the elements on this list. Second, we note that I- does not always form transitive verbs. It often does, mikarakara 'to take care of', mifidy 'to choose', mikapoka 'to beat' (Here and later we give verbs in their present tense form) but it often also builds intransitive verbs — mitomany 'to cry', mitsiky 'to smile', milomano 'to swim'. So I- is a function defined by conditions. If the root it applies to has a theta grid like {AG,TH} with two theta roles to assign then the value of I- at that root is a transitive verb. But if the grid is of the form {X} with just one role then the value of I- at that root is intransitive. In other words the number of arguments of the predicate I- derives matches that of the theta roles in the grid of the root, thus satisfying the Theta Criterion.

There are many active affixes, all prefixes, in the sense defined above. We call an affix primary if its domain consists solely of roots (and is thus definable by listing). The other common primary affix is AN-. Most commonly AN- builds transitive or ditransitive verbs — maneho 'to show', mamono 'to hit/kill', mandidy 'to cut', but it also builds some intransitive verbs — mandihy 'to dance', mandainga 'to tell lies', mandeha 'to go', mandohalika 'to kneel', maniry 'to mature'. A less widely used primary active affix is  $A_I$ -, which yields forms like matory 'to sleep' and mahita 'to see'. Some roots are in the domains of both functions, in which case the value of I- is normally intransitive and that of AN- (di-)transitive: milatsaka 'to fall' vs mandatsaka 'to drop; miseho 'to appear, happen' vs maneho 'to show'.

Other, non-primary, active affixes take verbs built from I-, AN- and  $A_I$ - as arguments and add some additional meaning: AMP- causative, IF- reciprocal, IHA- inchoative, AHA- causative/abilitative.

passive verbs The passive verb vidina is derived by applying the function -INA to the root vidy (which, like proper suffixes in general, shifts stress:  $VIdy \rightarrow viDIna$ ). It has category  $S[NP_{nom},NP_{gen}]$ . Tense marking puts it in the past tense and the result combines first with a genitive NP and then with a nominative NP to yield an S. Thus novidin-dRabe 'is bought by Rabe' is a constituent in (1b); it combines with a nominative NP to make an S. Semantically we interpret vidina as a function mapping an object x to a function taking an object y to True iff THEME(y,VIDY) & AGENT(x,VIDY) & VIDY(x,y). -INA, like non-active affixes in general, assigns the ranking theta role to the genitive argument. In -INA passives the nominative NP is usually assigned a THEME or PATIENT role.

And one computes directly that nividy(b)(a) = novidina(a)(b). Thus active and passive pairs like (6a,b), built with individual denoting NPs, have the same truth conditions, though neither is derived from the other; rather each is built directly from the root.

(6) a. Nividy ilay satroka Rabe 'Rabe bought that hat'

b. Novidin-dRabe ilay satroka 'That hat was bought by Rabe'

Observe that we treat the genitive Agent phrase as an argument of the passive verb. More will have to be said

about the interpretation of such verbs when no genitive NP is present, but various authors support taking these passives predicates as of the same arity as the corresponding active. Thus textbooks of Malagasy most commonly illustrate passives with an Agent phrase; Randriamasimanana (1986) states that the Agent phrase is obligatory in past tense passives (built from N-); and the extensive text counts done by K&M (Keenan and Manorohanta; in prep) show that over 60% of the non-actives in texts present an overt Agent phrase. By contrast the thorough text counts in Stein (1979) show that in German only 17.6% of passives present Agent Phrases. The figures for English run from 13% to 20% (Svartvik 1966, Duskova 1971, Givon 1979).

Moreover the 60% figure for Malagasy is inaccurately low since many overtly agentless passives have their missing Agent controlled. Contexts like (7b) are common.

- (7) a. Mikasa hamaky io boky io ny mpianatra tsirairay intends(act) fut+read(act) that book that the student each Each student intends to read that book
  - b. Kasain'ny mpianatra tsirairay ho vakina io boky io intend+pass'the student each fut+read+pass that book that That book is intended by each student, to be read (by him,)

Another type of case is is illustrated by the first conjuncts in (8a,b), counted by K&M as agentless but whose Agent is clearly understood to be that of the second conjunct.

- (8) a. A+tao+ko fa voa+jery sy voa+dinika+nao (= voadinikao) tsara ireo sary teo pass+do+1sg that pass+see and pass+examine+2sg good those pictures there

  I think that those pictures there have been seen (by you) and examined well by you [VR]
  - b. araka izao ahitana sy ahafantaranareo ahy izao according that see+circ and know+circ+2pl me that according to that which is seen and understood by you of me [VR]

Secondly, contrary perhaps to expectations based on current theories, the most common type of Head+Complement structure in Malagasy is one in which the Complement is genitive. It is the dominant case selected by Ns, As, and Ps. Vs divide on the issue. Formally, genitive NPs are morphologically bound to their hosts by a complex process I call *n-bonding*, exemplified but not defined below (for lack the space).

**n-bonding** combines a host H and an NP<sub>gen</sub>  $\alpha$  to form an expression **nbond**(H, $\alpha$ ) whose category depends on that of H. E.g. if H is a Prep then the category of **nbond**(H, $\alpha$ ) is PP. The precise form of **nbond**(H, $\alpha$ ) depends on whether H is "weak" (= ends in -na, -ka, -tra, stressed on the antepenult) or not. Weak endings are dropped or modified according as NP<sub>gen</sub> is a pronoun, an augmented pronoun, a coordinate NP, or a full NP beginning with a vowel, the proper noun articles Ra- or i-, or the definite article ny. If H is not weak, a segment -n- is inserted between it and a non-pronominal NP<sub>gen</sub>. NP<sub>gen</sub>s occur as Possessors of Ns, (9a), complements of transitive N's, (10), objects of most prepositions, (11), complements of most adjectives, (12), and Agent NPs with non-active predicates: (9b), (8a,b), (7b), (1b,c). (nr  $\Rightarrow$  ndr is phonologically regular).

(9) a. trano ity trano + n + Rabe (= tranon-dRabe) ity b. a+roso+n+Rabe (aroson-dRabe)

house this house+gen+Rabe this pass+serve+gen+Rabe

this house of Rabe's served by Rabe

Note that Possessor's do not compete for position with Dets. They do compete somewhat with Adjectives modifying the head, and their joint presence is often felt as awkward even when acceptable. Adjectives may always follow and sometimes (e.g. inherent property Adjectives; Jan Voskuil, p.c.) precede NP<sub>gen</sub>:

ny trano+n-dRabe fotsy ny trano fotsi+n-dRabe ny mpiasa+n-dRabe tezitra ny mpiasa tezi-dRabe the house+gen-R white the house white+gen-R the worker+gen-R angry the worker angry+gen-R

In (10) we see both lexical Ns (tahotra 'fear' and alahelo 'sadness) and the derived N fitiavana 'love' (with f-replacing the tense marker) selecting two complements, genitive and accusative ones.

ny taho-dRabe azy (10) ny taho-dRabe the fear+gen+Rabe+3sg(acc) the fear+gen+Rabe Rabe's fear of him the fear Rabe has ny f+i+tia+van'ny 7.27.2 azy ny alahelo+n-dRazay an-drai+ny the nom+act+love+circ+gen'the child him the sadness+gen-R. acc-father+her the child's love of him Razav's sadness for her father

Most prepositions (Rajemisa-Raolison 1971 lists 30+) select a genitive NP complement.

(11) ami+ko amin-dRabe tandrifin'ny trano amin'ny zaza imason'ny vahoaka hatry ny ela with me with Rabe opposite the house with the child in view of the people since the long time

Also directional and measure expressions take genitive complements: roa kilometra atsimo+n'ny tanana 'two kilometers to the South of the town"; ny haben'ny trano 'the size of the house'. And adjectives productively take genitive complements, often interpreted as AGENT or CAUSE, sometimes EXPERIENCER.

(12) maty 'dead' matin'ny jirika 'killed by the brigands'; jamba 'blind' jamban'ny vola 'blinded by money' marary 'sick' mararin'ny tazo 'sick from the fever; sasatra 'tired' sasatry ny dia 'tired from the trip mamy 'sweet' mamiko 'sweet to me'

Statistical counts of the distribution of NPs in the various cases supports the grammaticality facts above. In a text count based on two Malagasy newspaper articles and three random selections from novels/short stories, I found a total of 1,237 NPs. Their case distribution is given in the table below and compared with a sample from English (also two newspaper articles and page selections from 3 novels):

number of NPs		nom	acc	gen
Malagasy	1,237	33.6%	23.0%	43.4%
English	1,345	38.9%	47.1%	14.1%

Genitive is the most widely occurring in Malagasy, compared with accusative in English, nominative falling in second place in the two languages. Clearly the dominant expression of the Predicate + Argument relation in English is the Verb + Object one, whereas it is the Head + Possessor one in Malagasy.

generalizing the analysis Here we note only that there are several "passive" affixes besides -ina. Some roots seem to combine with -ana (or -na) but with at most a couple of exceptions they are disjoint from the -ina taking roots, so the audibly different suffixes here can be considered the same. But clearly different is the a- prefix passive. A variety of roots take both the a- prefix and the -ina suffix and usually in such cases the nominative NP with an a- verb is some kind of intermediary in the action, not uncommonly an instrument (Dez 1980). With roots that include both a THEME and RECIPIENT the NP<sub>nom</sub> of the a- dervied verb will be the THEME and that of the -inalana derived verb the RECIPIENT.

- (13) a. [N+an+tolotra (Nanolotra) vary (hoan')ny vahiny t+amin'ny lovia vaovao] aho past+act+present rice (to)'the guest past+on'the dishes new 1sg(nom)

  I presented rice to the guests on the new dishes
  - b. [N+a+tolotra+ko (Natolotro) (hoan)'ny vahiny t+amin'ny lovia vaovao] ny vary past+pass<sub>1</sub>+present+1 sg(gen) (to)'the guests past+with'the dishes new the rice the rice was presented by me to the guests on the new dishes
  - c. [No+tolotra+ana+ko (Notolorako) vary t+amin'ny lovia vaovao] ny vahiny past+offer+pass<sub>2</sub>+1sg(gen) rice past+with'the dishes new the guests The guests were presented rice on the new dishes by me
  - d. [N+an+tolotra+(C)ana+ko (Nanolorako) vary (hoan)'ny vahiny] ny lovia vaovao past+act+ present+circ+1sg(gen) rice (to)' the guests the dishes new The new dishes were presented rice on to the guests by me

In addition to a- prefix passives there are also voa- and tafa- prefix passives, voa- being illustrated in (8a).

Finally, the second most commonly occurring type of passive in Keenan and Manorohanta's study were root passives. Thus the lexical item *resy* 'defeated' takes its Agent as a genitive complement and its Patient as nominative (14a). Applying the active AN- function yields a derived active verb with nominative Agent and accusative Patient.

- (14) a. Resin'ny fanjakana ny fahavalo defeated+by'the government the enemies

  The enemies were defeated by the government
  - b. N+an+resy (nandresy) ny fahavalo ny fanjakana past-active-defeat the enemies the government The government defeated the enemies

On the view presented here items like resy are entered in the lexicon in the category  $S[NP_{ncm},NP_{gen}]$ . Semantically they map an object b to a function mapping an object a to True iff THEME(a,RESY) & AGENT(b,RESY) and RESY(b,a). And the domain of AN- is extended to take such roots in its domain. AN- applied to resy yields as value -andresy of category  $S[NP_{ncm},NP_{sec}]$  interpreted as a function mapping an object y to a function mapping x to True iff THEME(y, RESY) & AGENT(x,RESY) & RESY(x,y). Again paraphrase between active and passive Ss (with individual denoting NPs) is assured. Other examples of root passives include ones translatable as understood, caught, perceived from afar, cured, damaged, heard, seen.

Lastly we turn to the circumstantial forms of verbs exemplified in (1c). Here a function -ANA suffixes a tenseless active verb, not a root, with -(C)ana, where the presence and choice of consonant C varies with the choice of root, and the final syllable -na is occasionally reduplicated. The value of -ANA at the active *ividy* is *ividianana*, with stress shift and reduplication of -na. Its category is  $S[NP_{nom},NP_{acc},NP_{gen}]$ . Thus (1c), repeated as (15) below has the constituent bracketing indicated:

(15) [[[N + [[i + vidi] +anana]] + Rabe] (= nividianan-dRabe) [ilay satroka]] Rasoa past+active+root+circumstantial+Rabe that hat Rasoa Rasoa was bought +for by Rabe that hat

Semantically the interpretation of -ANA(ividy) is given by: -ANA(ividy)(c)(b)(a) =True iff for some f in Den(Prep), f(a)((ividy)(b))(c) =True. Since f(a) is restricting this guaranntees that ividy(b)(c) =True and thus that b is the THEME of VIDY and c is the AGENT.

Less formally this semantics says that the circumstantial form **buy** maps (Rabe)(that hat)(Rasoa) to True iff there is some function f of the sort denotable by prepositions such that f(Rasoa)(**buy**(that hat)) is true of Rabe. f could be something like FOR, WITH, BY MEANS OF, etc. In context an appropriate value is chosen.

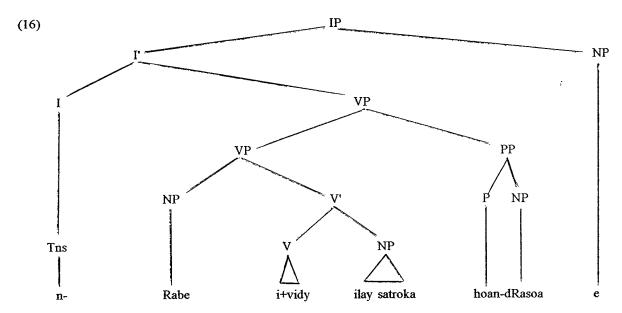
Circumstantial verbs are like passives in that the genitive NP is assigned the AGENT role. But in distinction to passives, NP<sub>nom</sub> is usually assigned an oblique role – benefactee, instrument, source, goal, manner, ... (Rajemisa-Raolison lists some 13 oblique roles that the NP<sub>nom</sub> of a circumstantial verb may have). Moreover for a given root the circumstantial form of an active verb has one more argument than that active (or its passive counterpart). There are no root circumstantial verbs and the domain of the (only) circumstantial function, ANA is just active (tenseless) verbs, not roots. Even the few active roots must take a prefix before they can be put in a circumstantial form. Thus the circumstantial form of tonga 'arrive' is ahatongavana, from (m)aha+tonga. Note also that different active verbs built from the same root all have their own circumstantial forms. E.g. from the root soratra 'writing' we have

Active Circumstantial

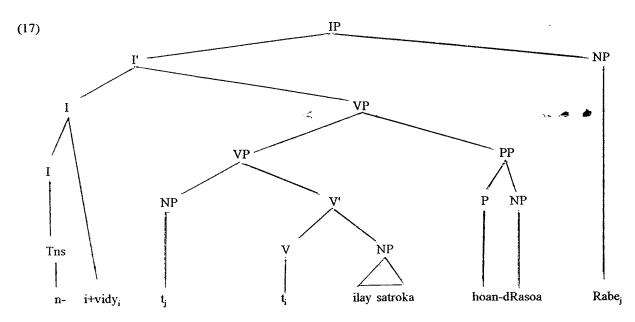
m+an+soratra = manoratra 'writes' anoratana 'writes for/with...
m+if+an+soratra = mifanoratra 'write each other ifanoratana 'write each other for/with...
m+amp+if+an+soratra = mampifanoratra ampifanoratana 'causes-write e.o. for/with...
'causes-write each other'

#### II. A generic GB analysis

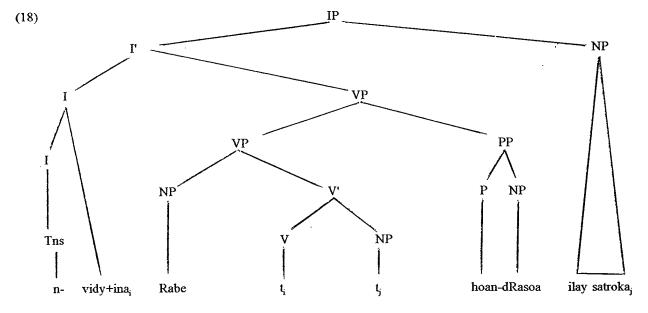
Adapting proposals in GHT an underlying structure for (1a) might be as in (16).



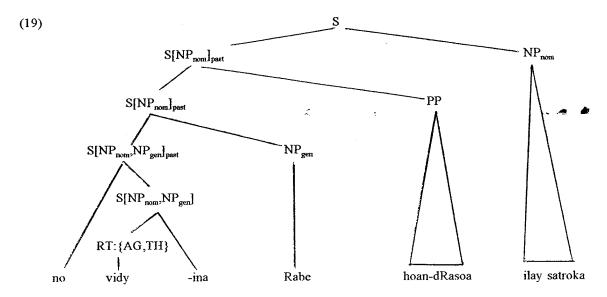
Here the language specific role of the language specific verbal morphology i- (GHT actually used a verb with an an-prefix) is to assign case to the postverbal NP ilay satroka 'that hat'. The Agent NP Rabe is in the preverbal "English" position and is not assigned case (whence (16) as it stands cannot surface). The external NP position is empty. The verb i+vidy can adjoin to I (Infl) (or some other functional projection) and the NP Rabe can move to the external NP position where it is assigned case. The resulting structure is as in (17).



The gross constituent structure of (17) compares fairly well with ours, (4), save that (4) presents no empty nodes and no indexing. More interesting differences show up in the non-actives. On the GB analysis the underlying structure for the -ina passive would be the same as (17) except the verb root vidy would carry the suffix -ina instead of the prefix i-. Again a language particular stipulation (associated with language particular morphology) would say that -ina assigns case to the preverbal NP Rabe. As nothing assigns case to the postverbal NP the underlying structure as given cannot surface. But under V to I movement, if the postverbal NP moves to the external NP position it is assigned case, as in the active. The resulting structure is:



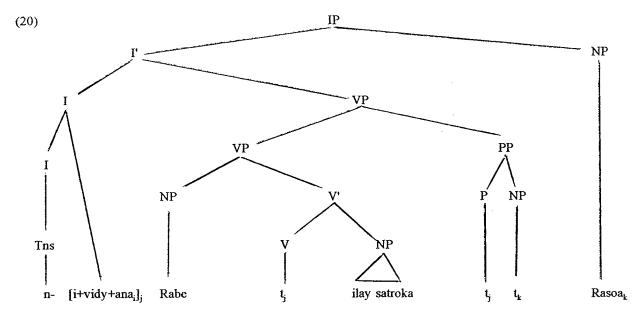
This structure differs rather more from the one we proposed. In ours, illustrated in (19), the Agent NP Rabe forms a constituent with the verb vidina to the exclusion of the other complements of the verb, whereas in (20) Rabe forms a constituent with the other arguments and modifiers of the verb to the exclusion of the verb.



Finally, the generic GB anlaysis of circumstantial forms like (1c) is the least well developed in the literature. GHT suggest an underlying structure like (16) except that both the active and the passive morphology are present, in which case, in accordance with their previously given stipulations, both the Agent NP and the Theme NP are assigned case. So moving either of them to the external position is unmotivated.

In general however the circumstantial form of a verb is not given by simultaneous presence of active and passive morphology (though this does happen in some cases, among them the one GHT looked at). But this proposal was never viable for the prefix (a-, voa-, and tafa-) and root passives, and in general fails for the suffix passives. A typical case here is: root haja 'respect'; act. (m)anaja; pass. hajaina; circ. anajana.

So let us modify their proposal as follows. Let the underlying structure for the circumstantial (1c) be as with the active (1a), and let us posit a Preposition Incorporation (Prep-Inc) operation which eliminates the Prep hoan - 'for' incorporating it in the form of (C)ana on the verb and assigning case to the preverbal NP, leaving its object NP Rasoa caseless, whence, as before, the structure is saved by moving Rasoa into the external NP position where it gets case. So the resultant structure for (1c) would be something like (20):



Again, aside from the empty categories, this structure differs from ours in that the Agent NP Rabe does not form a constituent with the verb *nividianana*. We turn now to a comparison of the two approaches.

## III Predicate Building (PB) and generic GB approaches compared

- 1. Semantics Only the PB approach provides a compositional semantics. Thus it accounts for how complex expressions are interpreted as a function of what they are built from and so gives some idea how little learners of Malagasy could interpet complex expressions once they knew the meanings of the lexical items they were built from and how they were built from those items. In particular the PB approach explicitly accounts for which NPs bear which theta roles in the different Ss, and these are the primary facts which motivate us as linguists to say that these Ss stand in some derivational relation to each other.
- 2. Voice morphology The PB approach provides a uniform interpretation of the voicing morphology. "Active" morphology, like the primary prefixes *i-*, *an-*, and the secondary ones like *amp* build verbs which assign the AGENT semantic role to the nominative NP. Non-active morphology assigns AGENT to the genitive NP. Circumstantials are distinct from passives in building on active Vs<sup>3</sup>.

By contrast on the GB approach sketched here, there is no uniform role for the primary active morphologies *i*-and *an*-. In many cases they will assign case to the postverbal NP, but this is not possible for the many cases in which they build intransitive verbs.

Also, attributing the circumstantial morphology to the result of Prep-Inc is unappealing (though not impossible). For one thing the more than 30 semantically distinct prepositions given in Rajemisa-Raolison (1971) would collapse to the same (C)ana morphology massively violating Recoverability of Deletions. Prep-Inc thus predicts that circumstantial Ss (ones whose main verb is the value of the -ANA function) are massively ambiguous. My own judgment here is that such Ss are not ambiguous, but merely vague. Thus from (21) all we know is that Rasoa plays some circumstantial role in the action. On hearing (21) we choose a role for Rasoa that is appropriate in context, such as benefactee, proximate cause, etc. But we cannot force the kind of double-take reaction we get with Ss like Flying planes can be dangerous or The chickens are ready to eat.

(21) Rasoa no nividiananay azy
Rasoa Foc past+buy+circ+1pl.excl.gen it (acc)
It was Rasoa Prep-whom we bought it

Further circumstantial Vs are used in a variety of cases in which the nominative would not be governed by a Prep in the active. Here are five: One, some roots simply do not take any passive affix but do form active transitive verbs. But NP<sub>nom</sub> in the circumstantial forms of these latter is assigned THEME/PATIENT.

- (22) a. Mianatra teny vahiny Rabe studies(act) language foreign Rabe Rabe studies foreign languages
- b. ny teny vahiny ianaran-dRabe the language foreign studied(circ)+by+Rabe the foreign languages studied by Rabe.

Two, various adverbials when focussed may trigger circumstantial morphology even though the adverbial itself in the active would not be constructed with a preposition.

- (23) a. Tonga omaly Rabe arrived yesterday Rabe Rabe arrived yesterday
- b. Omaly no nahatongavan-dRabe
  Yesterday Foc past+aha+arrive(circ)+by+Rabe
  It was yesterday that Rabe arrived
- (24) a. Mivarotra mora ny mpivarotra amin'io andro io sell(act) cheap the sellers on'that day that The sellers sell cheap on that day

b. Mora no ivarotan'ny mpivarotra amin'io andro io cheap Foc sell(circ)+by'the sellers on'that day that It is cheap that the sellers sell on that day

(A referee notes that we might save the GB analysis here by positing null prepositions governing 'yesterday' and 'cheap'. But this seems a costly move: why should the incorproation of zero phonology change the phonology of the host? How do little Malagasy learn to recognize the presence of prepositions in the absence of sensory stimulation? And have we not removed the empirical content of the claim that +circ morphology arises from Prep Inc? This would allow that subjects of circumstantial verbs were never constructed with an overt preposition in active Ss.)

Three, a THEME/PATIENT presented as only partially affected may be the NP<sub>nom</sub> of a circumstantial verb.

(25) a. Namono ny akoho Rabe killed(act) the chicken(s) Rabe Rabe killed the chicken(s)

b. Namonoan-dRabe ny akoho killed(circ)+by+Rabe the chickens Some of the chickens were killed by Rabe

Fourth, a THEME/PATIENT of a circumstantial reciprocal verb is presented as its NP<sub>nom</sub>

(26) a. N+if+an+soratra (= Nifanoratra) taratasy Rabe sy Rasoa past+rec+act+write letters Rabe and Rasoa Rabe and Rasoa wrote letters to each other

b. N+if+an+soratra+ana+Rabe sy Rasoa (=nifanoratan-dRabe sy Rasoa) ireto taratasy ireto past+rec+act+write+circ Rabe and Rasoa these letters these These letters were written to each other by Rabe and Rasoa

c. ireto taratasy (izay) nifanoratan-dRabe sy Rasoa ireto those letters (that) wrote+to+each+other+by+Rabe and Rasoa those those letters that were written to each other by Rabe and Rasoa

Fifth, in focus constructions the preposition may sometimes be present:

(27) Tamim-kafaliana no nahitako azy with(past)-happiness Foc past+see(circ)+1sg(gen) him It was with joy that I saw him

Finally the Prep-Inc mechanism itself is not adequate to the task. It cannot be obligatory since Preps with their objects surface regularly in Ss built from verbs in all voices, including circumstantial ones.

(28) Lafo no ividiananay azy amin'ny mpamboly expensive Foc is+bought(circ)+by+us them from'the growers

It is dear that we b uy them from the growers

Thus it seems that nothing forces Prep-Inc to apply in structures like (16), whence something more must be said concerning why it cannot surface as it is.

Further, even if the (C)ana suffix is represented as the result of Prep-Inc it does not seem motivated to say that the prepositionless NP lacks case. In overt case marking languages like Latin and Greek in which a preposition may occur either on the NP or on the verb we see that the prepositionless NP still has case. Thus in (29a) from Homeric Greek (Gary and Keenan, 1977; examples due to E.J.W. Barber, pc) the preposition en (or eni as a postposition) assigns dative case, and when it is incorporated in the verb as in (29b) the relevant NP is still

marked dative:

(29) a. en spessi in caves+dat b. paidos gar mython pepnymenon en-theto thymo of-thild for word thought+out in-placed spirt+dat for the thoughtful word of her son lodged in her mind

In the Latin (30a) the prep ad assigns accusative to castra, and in (30b) the prepositionless NP still receives accusative case even though the "preposition" has incorporated into the verb (thanks to Claudia Cassadio and Filippo Beghelli, p.c.). See C. Lehman (1983) for some discussion.

(30) a. venire ad castra
go(inf) to encampment(acc)
to go to the encampment

b. advenire urbem to+go(inf) city(acc) to go to the city

Comparable claims for ablative case assignment to castris by exle-in (31):

(31) a. ipse omnes copias castris e+duxit
he all soldiers encampment(abl) lead+from
He took away all the soldier (multitude) from the camp

b. ipse omnes copias ex castris duxit he all soldiers from encampment+abl he+led

So if the "stranded" NP in Malagasy circumstantial Ss lacks case this is a stipulation, not a consequence expected from UG.

3. Case morphology On the PB approach case assignment proceeds uniformly from left to right. That is, complements of heads always occur to their right. By contrast on the GB approach only nominative and accusative case assignment is uniformly rightward. Genitive is assigned rightward to objects of Prepositions, Adjectives and Nouns but leftward with verbs.

More importantly, the PB approach accords a uniform role to the rather complicated morphology that we called "n-bonding" above. This morphology is used when a head takes a genitive complement, the most widespread type of head+complement structure in Malagasy. By contrast this morphology must be assigned twice, independently, on the GB approach. Clearly the GB approach misses a generalization here.

- 4. Constituency A crucial difference at the level of descriptive adequacy between the two approaches is that the PB approach but not the GB ones recognizes that the Genitive Agent in non-active Ss forms a constituent with the verb to the exclusion of its other arguments, whereas on the GB approach it forms a constituent with its other arguments to the exclusion of the verb, as indicated by the bracketing below:
- (32) [nividianana [Rabe ilay satroka]] Rasoa bought(circ) Rabe that hat Rasoa

But I know of no phenomenon in Malagasy that treats the interior bracketed "VP" as a constituent. It cannot be replaced by "pro" forms, it cannot be questioned or relativized, or coordinated with structurally similar strings.

(33) \*nividianana [[Rabe ilay satroka] sy/ary [Rakoto ny boky]] Rasoa bought(circ) Rabe that hat and Rakoto the book Rasoa Rasoa was bought a hat for by Rabe and a book for by Rakoto

By contrast the gross constituent structure determined by the PB analysis is supported by a variety of

constituency tests.

First, tensed transitive verbs coordinate commonly and easily in Malagasy. From a short first grade reader I picked up 8 examples. Such coordination is natural on the PB view since tense marking forms a constituent directly with the verb, not merely with the verb plus its complements<sup>4</sup>.

(34) Nividy sy namaky boky roa Rabe bought and read book two Rabe Rabe bought and read two books

The only reading of (34) is one on which there are two books each of which was bought and read by Rabe

We might plausibly GB-derive (34) from a coordinate IP (or appropriate FP for some functional category F) by first raising each verb in each conjunct to its I (F) yielding, with English morphemes for readability, [[past+buy [Rabe e book two]]] and [past+read [Rabe e book two]]]. Then Conjunction Reduction (CR) applies yielding [[past+buy and past+read][Rabe e book two]] and then movement of Rabe to Spec IP yielding (35). The PB analysis only requires generalized coordination for expressions of the same category C, in particular for C = S[NP<sub>nom</sub>,NP<sub>acc</sub>] (Keenan & Faltz, 1985). Simplicity favors the PB approach here (and does not require defining CR).

More importantly however the underlying structure the GB analysis assumes is not the structure on which semantic interpretation will be determined, as the S has no reading on which it means Rabe bought two books and Rabe read two books (which allows that the books he bought be different from those he read). So the GB approach will have to provide a second analysis for (34) to get the semantic interpretation right. Under any reasonable definition this is uneconomical.

We might emphasize here that Ss like (34) read easily and naturally. They have for example nothing of the flavor of non-constituent coordination which we see in e.g. John bought and Bill cooked the turkey. Similarly simple Ss like (35) with an adverb scoping into a coordinate predicate read easily in Malagasy.

(35) Fantatro sady hitako tsara izany known-my and seen-by-me good that That is known by me and recognized by me well

The coordination facts provide then one reason for preferring tense marking to form a constituent directly with the verb in Malagasy. Note further (36) - (40) that predicates of different tenses and voices coordinate.

- (36) Inona no Ø+iriko sy h+aha+finaritra ahy mihoatra noho izany?

  What foc pres-desire(pass)+my and fut+cause(act)+pleasant me exceed than that

  What is it that I desire and will please me more than that?

  [VR]
- (37) Nosoratako sy ho tiako mandrakariva io tononkalo io pst+write(pass)+1 sg and fut+pass+like+me always that poem that That poem was written by me and will always be liked by me
- (38) ny tanàna izay malaza amin'ny loharanony sy nifankahitantsika voalohany the village that pres+famous for'the spring+its and pst+rec+see(circ)+1pl first the village that is famous for its springs and (where) we saw each other for the first time
- (39) Inty ny tanàna nahaterahako sy honenako mandrakariva Here+is the village pst+born+circ+me and fut+live+circ+me always Here is the village where I was born and where I will always live

(40) Zavatra mahatsiravina sy nanaitra ny sain'ireo zatovo roa lahy tanora fanahy ireo loatra thing (which) disgusts and shocked the minds'of those young men young minded those too+much

izany fialan'aina hitany izany that removal+of+life seen by them that

That death they saw is a thing which sickens and shocked the minds of those two young young minded men too much

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However cases which most crucially support the PB analysis are ones in which the non-active predicate with its Agent phrase coordinates with transitive verbs taking the same object. Observe that on the PB view the circumstantial form of (past tense) 'buy', nividianana, has category S[NP<sub>nom</sub>,NP<sub>acc</sub>,NP<sub>gen</sub>]. So once it combines with its genitive complement we have a two NP-taking predicate of category S[NP<sub>nom</sub>,NP<sub>acc</sub>], the same category as active 'read', namaky. Here are two such cases (the first simplified from a first grade reader!).

- (41) a. Nividianako ilay boky ianao pst+buy(circ)+1 sg(gen) that book you You were bought that book by me
  - b. Namaky ilay boky ianao pst+read(act) that book you You read that book
  - c. Nividianako sy namaky ilay boky ianao pst+buy(circ)+1sg(gen) and pst+read(act) that book you You [[were bought+for by me and read] that book]
- (42) a. Nanondroako ilay toerana ianao pst+point+out(circ)+1sg(gen) that place you You were indicated that place by me
  - b. Nanondroako ka naka ilay toerana ianao pointed+out(circ)+1sg(gen) and so took(act) that place you You were pointed out by me and took that place

On the PB approach the same rules that coordinate transitive verbs apply to generate the coordinations here. On a GB approach the only derivations I can think of are elephantesque. We begin with underlying structures like (43).

(43) [[ tense [ $_{VP}$ 1 sg [buy that book for-you]]] and [ tense [you [read that book]]] ]

To my knowledge there are no rules which will reduce the coordination at this point. However, applying Prep-Inc in the first conjunct, then applying V to I movement in each conjunct, then moving the caseless NP to Spec IP in each conjunct would yield (44):

(44) [ [[ bought(circ) [vPlsg [ e that book e]]] you] and [[ read(act) [vP e [ e that book]]] you] ]

Presumably RNR (Right Node Raising) could apply to (45) yielding

(45) [[[ bought(circ) [vplsg [e that book e]]] e] and [[ read(act) [vp e [e that book]]] e]] you]

But in order to get from (45) to (41c) we would have to look inside the coordinated non-maximal IPs in (45) to

the VP level and apply some form of across the board movement (RNR does not apply). This movement is not otherwise attested in languages and strongly violates any form of strict cyclicity. Again Naive Economy ("If you don't need it don't buy it") rejects such backtracking in favor of the PB approach.

Finally we note that whether nominalized (46) or tensed, (47) and (48), coordinated circumstantial Vs + Agent Phrase with a common direct object combine with determiners to form argument expressions, further supporting their status as constituents. The examples are all taken from Malagasy novels or short stories.

- (46) izany f+ikarakarany sy f-anolokoloany an-dRazay izany
  that nom+care(circ)+3(gen) and nom+treat(circ)+3(gen) acc-Razay that
  that care of theirs and treatment of theirs of Razay

  (= "their care and treatment (of) Razay")
- (47) araka izao Ø+ahitana sy Ø+ahafantaranareo ahy izao according that pres+see(circ) and pres+know(circ)+2pl(gen) me that according to that which you see and understand of me [VR.43]
- (48) Ela izay nitalahoako sy nangatahako zanaka izay long that pst+supplicate(circ)+1sg(gen) and pst+asked-for(circ)1sg(gen) child that my supplicating and asking for a child has lasted long [Z]

Lastly we note a different constituency property which slightly favors a PB analysis over the GB one. Namely we get correct predictions concerning the interaction between complex predicates and Generalized Incorporation. Thus taking (49a) as a point of reference observe that in (49b) the object NP baolina incorporates into the agentless circumstantial verb. But this cannot happen if the verb is agented, (49c).

- (49) a. Milalao baolina ao an-trano Rabe play(act) ball there at-house Rabe Rabe is playing ball in the house
  - b. Tsy ilalaovam-baolina (< ilalaovana baolina) ny trano not play(circ)-ball the house The house isn't played ball in
  - c. \*Tsy ilalaovam-baolina Rabe ny trano
    The house isn't being played ball in by Rabe
  - d. Tsy ilalaovan-dRabe baolina ny trano not play(circ)+Rabe ball the house The house isn't being played ball in by Rabe

(49c) shows that, on the GB approach, the object cannot incorporate before the verb moves to Infl. But its structural description is met before verb movement, and the incorporation is allowed as (49b) shows, so by Naive Economy the incorporation should take place. So the GB approach is uneconomical here. On the PB approach by contrast one can stipulate in any of various ways that the presence of the NP Agent Phrase on the verb blocks incorporation.

#### **Footnotes**

1. More formally VIDY is a functional associating each universe E with a binary relation over E. So  $VIDY(E) \subseteq E \times E$ .

- 2. More generally NPs denote generalized quantifiers. See the analysis of Malagasy in Keenan (1995) and references cited there.
- 3. Voskuil (1993) rightly points out that a few verbs, clearly recognized as exceptional in traditional grammars, do form -ina passives from actives rather than the root. E.g. from halatra 'stolen goods' we have the active mangalatra 'steals' and the passive angalarina 'is stolen'. Also we note one systematic exception to the claim that the domain of -INA is only roots. Namely is productively takes AMP- causatives in its domain. Thus from the root asa 'work' we have the active miasa 'to work', the causative mampiasa 'to cause to work, use' and the suffix passive ampiasaina 'to be used'.
- 4. The function-argument combination rules for Predicates and Arguments passes along the tense feature:  $S[NP_1,...,NP_{k+1}]_T + NP_{k+1} = S[NP_1,...,NP_k]_T$

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