Class 6: The duplication and conspiracy problems

Overview: Sometimes it looks like multiple parts of the grammar are doing the same thing. Is this bad, and if so can we do anything about it?

0. Business

- Anything?
- [We'll spend 10 minutes on Malagasy at the end]
- Kie: start the recording

1. Dynamic vs. static phonology

• The 'dynamic' phonology of a language is the phonology that shows up in alternations. We have analyzed this with rules:

cat[s]	walk[t]
dog[z]	jog[d]
pea[z]	flow[d]

• The 'static' phonology is the generalizations that hold of monomorphemic words. Often analyzed with morpheme structure rules/constraints:

[læps], [lɪst] but no words like *[læpz], *[lɪsd]

2 Let's try writing both a phonological rule and a morpheme structure rule for this. Then, let's see if we can devise an "ordering solution" as you read about in K&K.

2. Conceptual remarks

- Morpheme structure rules/constraints are weird:
 - no one is claiming that the English lexicon actually contains words like /ækd/, repaired by morpheme structure rule to *ækt*
 - o after all, on hearing [ækt], why would a learner construct a lexical entry /ækd/ instead of /ækt/?
- But the prohibition on *ækd* must be expressed <u>somewhere</u> in the grammar of English

 assuming it is "significant"
 - e.g., if English speakers reject *ækd* as a new word, or have trouble distinguishing between *ækd* and a legal alternative.
- Some might claim that the lexicon contains /ækD/, with a final consonant underspecified for [voice].
 Still, if the morpheme structure rule applies only to underspecified Cs, what *would* happen to hypothetical fully specified /ækd/? What prevents it from existing??
- This comes back to the 'lexical symmetry' idea we saw in K&K's discussion of Russian final devoicing:
 The grammar needs to explain, one way or another (phoneme inventory, morpheme structure, or normal rules), why certain types of underlying forms don't occur.
- [?] An even weirder case: some English speakers think that *slol* and *smæŋ* sound funny.¹ If we tried to write a rule to change them, instead of merely a constraint banning them, what would they change to??

3. Example: Estonian

- Finno-Ugric language from Estonia with 1.1 million speakers
- Official language of Estonia
- Some notable Estonian speakers:



Kelly Sildaru, freestyle skier



Arvo Pärt, composer



Kerli, singer/songwriter

¹ There are few monosyllabic words like this—here are all the examples from the CMU Pronouncing Dictionary, excluding probable proper names. OED has a few more but those were all previously unknown to me.

 $s\{p,m\}C_0VC_0\{p,b,m\}$: smarm(y), smurf, spam, sperm, spiff(y), spoof $s\{m,n\}C_0VC_0(m,n,\eta\}$: smarm(y) $\{f,s\}\{l,r\}C_0VC_0\{l,r\}$: shrill, slur, slurp—notice none with *l...l* or *r...r* $skC_0VC_0\{k,g,\eta\}$: skink, skulk, skunk

- I've seen the basic data cited as being from Prince 1980, but I couldn't find them there (??).
 - Data below are just spelling [which does not reflect all three length levels], from this Estonian noun decliner: www.filosoft.ee/gene_et, using additional roots from Blevins 2005.
- Estonian content morphemes have a **minimum size**: at least two syllables or one "heavy" syllable o such as CVV, VV, CVCC, VCC
 - \circ */ko/, */ma/, */kan/ \leftarrow no good because they would be a single "light" syllable
- Estonian also has a rule deleting final vowels in the nominative sg.:

nom. pl	nom. sg.	
ilm a- d	ilm	'weather'
mats i- d	mats	'lout'
konn a- d	konn	'frog'
tänav a- d	tänav	'street'
seminari-d	seminar	'seminar'
tulevik u -d	tulevik	'future'
raamat u- d	raamat	'book'
	nom. pl ilm a- d mats i- d konn a- d tänav a- d seminar i -d tulevik u -d raamat u -d	nom. plnom. sg.ilma-dilmmatsi-dmatskonna-dkonntänava-dtänavseminari-dseminartuleviku-dtulevikraamatu-draamat

• But the rule fails to apply in certain cases:

/pesa/	pesa-d	pesa	'nest'
/kana/	kan a- d	kan a	'hen'
/koi/	ko i- d	koi	'clothes-moth'
/maa/	ma a- d	ma a	'country'
/koli/	kol i- d	koli	'trash'

2 Let's try to write a mini-grammar for Estonian that tries to capture these facts. What's unsatisfying about it?

4. The duplication problem (Kenstowicz & Kisseberth 1977)

= cases where phonological rules and morpheme structure constraints seem to be doing the same thing ('duplicating' each other's effects).

- These troubled researchers from the late 1970s onwards, because it seems (although we don't actually know) that a single phenomenon (e.g., avoidance of sub-minimal words) should have a single explanation in the grammar.
- ? Anyone want to offer a summary of how this plays out in Chamorro? (if time)

5. Another duplication case

TASTE handshape is

- Many sign languages require that a content morpheme can have only one handshape (though within that handshape, fingers can open or close during the morpheme)
- When two roots are put together to form a compound word, there is often a rule that assimilates handshape
 - Hong Kong Sign Language example and images from Tang et al. 2010
 - Sign language from Hong Kong, related to Chinese Sign Language
 - o endangeredlanguages.com estimates 9,000 users





GOOD handshape is 🔍

TASTE^GOOD (meaning 'tasty') takes the TASTE handshape plus the 'thumb-extended' feature



to get handshape (plus a closing movement): TASTE^GOOD

- > In Estonian, a word-shape requirement **prevents** a rule from applying
- > In Hong Kong Sign Language, a word-shape requirement **causes** a rule to apply

6. Shortening a grammar

• Using the brace notation to collapse $\emptyset \rightarrow V / C _ C \#$

$$\emptyset \rightarrow V/C _CC$$

into the shorter $\emptyset \to V / C _ C\{C,\#\}$ says that these rules have something significant in common. (Why? recall SPE's evaluation metric...)

7. Kisseberth 1970: cases where the notation doesn't allow shortening

• These rules have something in common too (what?), but they can't be collapsed using curly brackets:

- Cases of languages that have sets of rules like this are called *conspiracies*, and their widespread existence is the *conspiracy problem*.
 - (The difference between a case of the duplication problem and a case of the conspiracy problem is sometimes fuzzy and the terms are sometimes used interchangeably)

8. Constraints

- The $\emptyset \to V$ and $C \to \emptyset$ rules both seem to be applying to get rid of CCC sequences
- Moreover, there's a rule that could be made simpler if we invoked a constraint *CCC
 Kisseberth proposes...

Instead of
$$V \rightarrow \emptyset / V C$$
 [-long] $C V$

use

$$V \rightarrow \emptyset \ / \ C \quad \underbrace{[-long]} C$$

subject to the constraint *CCC (or *{C,#}C{C,#})

If time, let's try spelling out how some of this would work (otherwise, leave it for next time)...

9. Constraints as rule blockers

- $V \rightarrow \emptyset / C C$, unless result would violate *CCC
- 2 Let's try to lay out, step by step, what an algorithm would have to do to implement the rule and its blocking constraint

10. Constraints as rule triggers

- $\phi \rightarrow i$, only if needed to eliminate *CCC violation
- ? What exactly will happen, step by step?

11. Problems for triggering

? What happens if the grammar has a rule $\emptyset \rightarrow i$ (with no context) and a constraint *CCC?

/arbso/

? What happens if a grammar has rules $\emptyset \to i$ and $C \to \emptyset$ and a constraint *CC?

/eldu/

12. Where this leaves us

- Many more conspiracies were identified, giving rise to more constraints.
- People liked constraints, because they solved the conspiracy problem and also gave clearer theoretical status to the idea of "markedness"
 - Everyone knew languages don't "like" CCC sequences (they are "marked"), but this was not directly encoded in grammars until constraints like *CCC came along.
- On the other hand, we'll see that it's unclear exactly how constraints should work.
 - o Thursday we'll wallow in this problem
 - o Next week we'll start trying to solve it

13. Final business

- 10 minutes for Malagasy—I want to talk about vowels
 I also want to talk about exposition, but let's leave that till next week
- **"Muddiest point" exercise again:** Let's end today by again having everyone briefly type in the chat the issue or topic that was most unclear/puzzling/etc. to them today
- Kie: stop the recording!

References

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